

# In Vivo Excision of HIV-1 Provirus by saCas9 and Multiplex Single-Guide RNAs in Animal Models

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**CRISPR-associated protein 9 (Cas9)-mediated genome editing provides a promising cure for HIV-1/AIDS; however, gene delivery efficiency in vivo remains an obstacle to overcome. Here, we demonstrate the feasibility and efficiency of excising the HIV-1 provirus in three different animal models using an all-in-one adeno-associated virus (AAV) vector to deliver multiplex single-guide RNAs (sgRNAs) plus *Staphylococcus aureus* Cas9 (saCas9). The quadruplex sgRNAs/saCas9 vector outperformed the duplex vector in excising the integrated HIV-1 genome in cultured neural stem/progenitor cells from HIV-1 Tg26 transgenic mice. Intravenously injected quadruplex sgRNAs/saCas9 AAV-DJ/8 excised HIV-1 proviral DNA and significantly reduced viral RNA expression in several organs/tissues of Tg26 mice. In EcoHIV acutely infected mice, intravenously injected quadruplex sgRNAs/saCas9 AAV-DJ/8 reduced systemic EcoHIV infection, as determined by live bioluminescence imaging. Additionally, this quadruplex vector induced efficient proviral excision, as determined by PCR genotyping in the liver, lungs, brain, and spleen. Finally, in humanized bone marrow/liver/thymus (BLT) mice with chronic HIV-1 infection, successful proviral excision was detected by PCR genotyping in the spleen, lungs, heart, colon, and brain after a single intravenous injection of quadruplex sgRNAs/saCas9 AAV-DJ/8. In conclusion, in vivo excision of HIV-1 proviral DNA by sgRNAs/saCas9 in solid tissues/organs can be achieved via AAV delivery, a significant step toward human clinical trials.**

## INTRODUCTION

The CRISPR-associated protein 9 (Cas9) is an RNA-guided endonuclease originally discovered as an integral mediator of bacterial adaptive immunity. The Cas9-mediated genome editing system generates site-specific double-strand DNA breaks (DSBs) that remarkably increase homologous recombination efficacy and thus render easy, fast, and economical manipulation of the genome in various cells and organs. In the past 4 years, Cas9 technology has been extensively

utilized in basic science and pre-clinical settings for the potential treatment of genetic diseases, cancer, and infectious diseases. The majority of these applications are attributed to the successful development of the *Streptococcus pyogenes* Cas9 (spCas9), a 1,368-amino acid (aa) protein that recognizes a protospacer adjacent motif (PAM) of NRG nucleotides to the 3' target site.<sup>1</sup> However, several smaller Cas9 proteins from other bacterial species have been garnering more attention for their greater feasibility in viral gene therapy, specifically from *Streptococcus thermophiles*, *Neisseria meningitidis*, and *Staphylococcus aureus* (saCas9). The efficiency and specificity of saCas9 (1,053 aa) in mammalian cells was characterized recently.<sup>2</sup> The smaller size renders adeno-associated virus (AAV)-mediated gene delivery systems more feasible in clinical trials.<sup>3</sup> The AAV-saCas9 system has been successfully tested in gene knockout<sup>2,4,5</sup> and knock-in<sup>6,7</sup> studies in experimental animals.

Currently, combined antiretroviral therapy (cART) has been widely used to suppress active HIV-1 replication; however, a permanent cure is still lacking due to the existence of latent reservoirs, in which HIV proviral DNA integrates in the host genome. Upon cART cessation, the HIV viral load rebounds to cause a catastrophic resurgence of HIV/AIDS. Thus, several promising and novel strategies are being developed to achieve a permanent or "sterile" cure for HIV/AIDS.

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The versatile Cas9/single-guide RNA (sgRNA) technology is one strategy employed to eradicate the integrated proviral DNA in HIV-1 latent reservoirs and prevents HIV-1 (re)infection. For example, the spCas9 system has been successfully used to disrupt HIV-1 entry co-receptors CCR5 and CXCR4, along with several proviral structural proteins.<sup>8–10</sup> We reported that stable transfection of cultured human cells with plasmids encoding spCas9 and HIV-1 sgRNAs targeting four different sites of the HIV-1 long terminal repeat (LTR) could successfully excise HIV-1 proviral DNA.<sup>11</sup> Pre-treatment of cells with spCas9/LTR sgRNAs renders them resistant to new HIV-1 infection in vitro,<sup>11</sup> which was also observed by others.<sup>12–14</sup> We further demonstrated the feasibility and efficiency of HIV-1 excision by applying the spCas9/sgRNA system via lentivirus-mediated delivery to HIV-1-infected primary CD4<sup>+</sup> T cells from human healthy subjects and patients with HIV-1.<sup>15</sup> Finally, we demonstrated that a combination of sgRNAs targeting LTRs and the viral structural genes in the spCas9 system provides a more efficient means of HIV-1 excision in cultured cells.<sup>16</sup> Using high-coverage whole-genome sequencing and cell-based functional assays, we demonstrated the absence of off-target effects when the multiplex sgRNAs/spCas9 system was used.<sup>11,15,16</sup> Due to the limitations of spCas9 for AAV gene delivery in the clinical setting, we adapted the saCas9/sgRNA system in an all-in-one AAV vector for HIV excision in a recent report. We demonstrated the proof of concept that AAV9-mediated saCas9/duplex sgRNAs can be used to excise the integrated HIV-1 genome in HIV-1 transgenic mice and rats.<sup>17</sup> In this study, we further tested the feasibility and efficiency of HIV-1 excision by saCas9/sgRNA-mediated genome editing in humanized bone marrow/liver/thymus (BLT) mice inoculated with HIV-1 via the vaginal mucosa or intraperitoneal (i.p.) transmission. The same genome editing system was also introduced in conventional mice during an acute infection of EcoHIV<sup>18,19</sup> to demonstrate its ability to block active HIV-1 replication.

## RESULTS

### The saCas9/sgRNA System Efficiently Excises HIV-1 Proviral DNA

To determine the excision efficiency of the saCas9 system, we selected three sgRNAs targeting the HIV LTR (Figure 1A) using the optimal PAM NNGRRN<sup>2</sup> and we performed EcoHIV-enhanced *firefly* luciferase (eLuc) reporter assays and direct PCR genotyping as we described previously.<sup>16</sup> We employed an sgRNA pairing approach because it is more reliable for identifying successful excision of the HIV-1 proviral genome by functional reporter assays and PCR genotyping.<sup>11,15,16,20</sup> As shown in Figure 1B, all combinations between the selected three LTR sgRNAs in the presence of saCas9 almost completely eliminated EcoHIV-eLuc activity (by 95%–99%). Since these identified seed (target) sequences were designed as NNGRRN that exactly match the spCas9 PAM (NGG), we cloned them into a lentiviral WG vector,<sup>16</sup> in which spCas9-specific sgRNA sequence differs from the sgRNA sequence for saCas9 (except the seed sequence),<sup>2,21</sup> and compared the HIV-1 excision efficiency between saCas9/sgRNA and spCas9/sgRNA. Side-by-side transfection and EcoHIV-eLuc reporter studies showed that the spCas9/sgRNA with

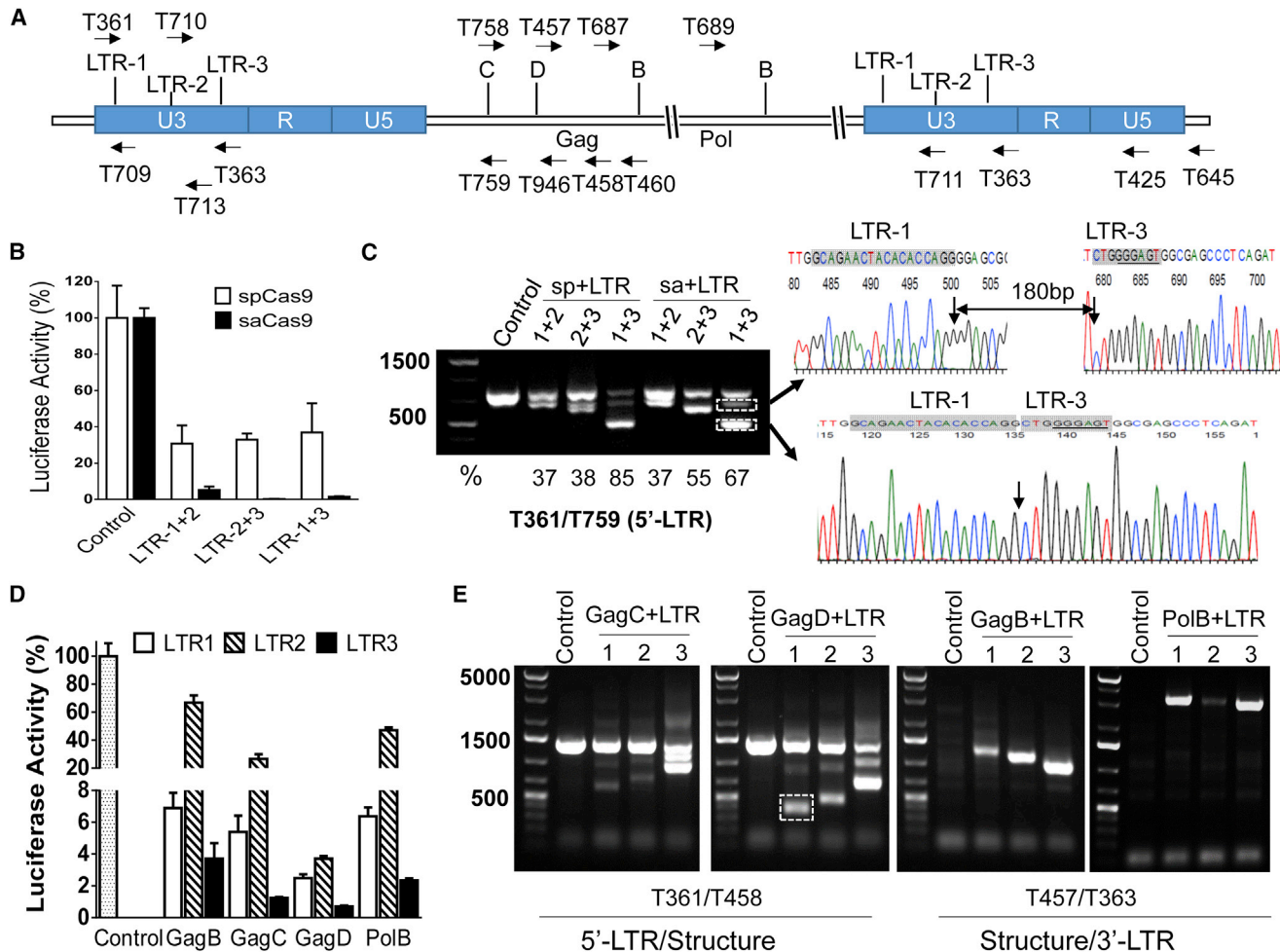
these three pairing methods was less effective at reducing luciferase activity (Figure 1B) and excising EcoHIV DNA (Figure 1C). The higher excision efficiency of the saCas9/sgRNA system may be attributed to the higher co-expression level of sgRNA and saCas9 in the same cells because they are in a single vector. Additionally, the smaller size of saCas9 allows higher gene delivery efficiency, and the intrinsic nuclease activity of saCas9 may be more efficient at making DSBs than spCas9.<sup>2,21</sup> The different sgRNA sequences used between the two different bacterial Cas9s may also contribute to saCas9's better cleaving efficiency.<sup>2,21</sup>

### Combination of LTR sgRNAs with Gag or Pol sgRNA Induces Potent Excision

Using the spCas9/sgRNA system, we demonstrated easier PCR genotyping and more efficient HIV-1 excision through the combination of sgRNAs targeting the LTRs and the viral structural genes (e.g., Gag or Pol).<sup>16</sup> To test whether this is also applicable to the saCas9/sgRNA system, we paired sgRNAs targeting Gag or Pol with one of the three LTR sgRNAs. All combinations of LTR-1 or LTR-3 with Gag or Pol sgRNAs induced a robust reduction of EcoHIV-eLuc activity in HEK293T cells (Figure 1D). The pairing of LTR-2 and GagD also induced a robust reduction, but pairing with GagB, GagC, and PolB exhibited lower excision efficiency in reducing the reporter activity (Figure 1D). Direct PCR genotyping with 5'-LTR or 3'-LTR primers paired with the structural primers validated the cleaving efficiency of all of the sgRNA combinations (Figure 1E). To further validate the fragmental deletion and provide a rationale for quadruplex sgRNAs (see below), we selected a combination of sgRNAs of LTR-1 and GagD for TA cloning and Sanger sequencing. The results showed the expected sequence of the fragment after deletion between the two target sites (Figure S1 for LTR-1 plus GagD). These data suggest that all of the sgRNAs selected for the saCas9 system are highly efficient in making DSBs at their predicted target sites and that pairing the LTR sgRNAs with sgRNAs targeting viral structural regions induced varying degrees of functional excision.

### Multiplex sgRNAs in an All-in-One AAV Vector Result in More Potent Excision

To ensure maximum efficiency of HIV-1 excision and suppression, we selected the pair of LTR-1 and GagD to compare the excision efficiency from co-transfection of two single sgRNA-expressing vectors versus transfection of one duplex sgRNA-expressing vector. The smaller size of saCas9 (3.159 kb) allows a single AAV vector to harbor two sgRNA-expressing cassettes and an saCas9-expressing cassette for efficient AAV packaging and gene delivery.<sup>22</sup> Transfection of the duplex sgRNAs/saCas9 vector induced a further reduction of luciferase reporter activity as compared with the co-transfection of two separate single sgRNA/saCas9 vectors (Figure 2A). Cleavage of the reporter gene was confirmed by PCR genotyping, and the ratio of the cleaved fragmental band over the wild-type band exhibited the stronger cleaving capability of the duplex sgRNAs/saCas9 vector than the two separate sgRNA/saCas9 vectors (Figure 2B). The outperforming effect of the duplex sgRNAs/saCas9 may result from the co-expression of these three components in the same cells.

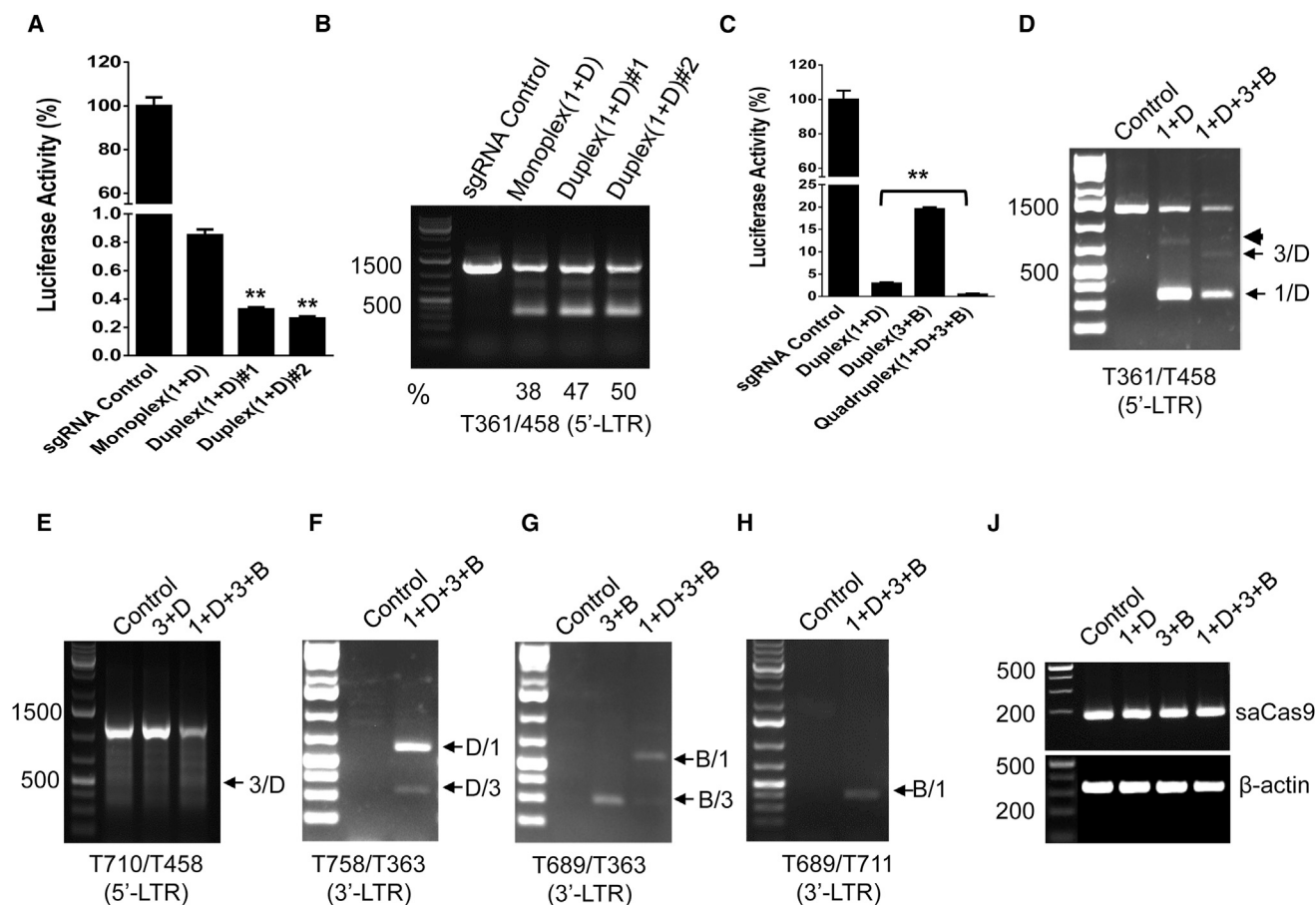


**Figure 1. saCas9/sgRNA Efficiently Excises the HIV-1 Genome and Suppresses HIV-1 Luciferase Reporter Expression**

(A) Location of sgRNA target sites and PCR primers (arrows). (B and C) Efficiency comparison between saCas9/sgRNA and the spCas9/sgRNA system using the EcoHIV-eLuc reporter assay (B) and direct PCR genotyping (C). The excision efficiency (%) indicates the density of the fragmental deletion band(s) over the total bands. Arrows point to the representative fragmental 296-bp deletion between LTR-1 and LTR-3 target sites at the third nucleotide from PAM and to an additional 180-bp insertion, which were validated by TA cloning and Sanger sequencing (C). (D and E) Three LTR sgRNAs paired with viral structural sgRNAs induced robust inhibition of EcoHIV-eLuc activity by the ONE-Glo Luciferase Assay (D) and fragmental deletion/insertion by direct PCR genotyping with the indicated primers (E). The representative fragmental deletion in the dotted box in (E) was corroborated with Sanger sequencing (see Figure S1). HEK293T cells in six wells of the 96-well plate were co-transfected with the pNL4-3-EcoHIV-eLuc reporter (10 ng/well), the pCMV-*renilla*-luciferase reporter (2 ng/well), and the indicated Cas9/sgRNA-expressing vectors as follows: for the spCas9 system (B), pLV-EF1a-spCas9-T2A-RFP (80 ng/well) and the indicated sgRNA expression vectors (60 ng/well each for paired gRNAs); for the saCas9 system (B and D), pX601-saCas9/LTR sgRNA expression vectors (100 ng/well each for indicated pairs). After 48 hr, eLuc activity in the cell lysates was measured with the ONE-Glo luciferase assay system and *renilla*-luciferase activity was measured with the Renilla-Luciferase Assay System. Data represent the mean  $\pm$  SD of four independent transfections with percentage changes in eLuc after *renilla*-luciferase normalization as compared with the corresponding empty pX601 vector. Two additional wells of the transfected cells were used for direct PCR genotyping (C and E). Similar experiments were repeated two to three times.

As demonstrated above and previously,<sup>11,15,16</sup> two LTR sgRNAs are capable of excising the entire HIV-1 genome in addition to the fragmental deletion of both LTRs, and a combination of sgRNAs targeting to LTRs and structural protein resulted in higher proviral cleavage efficiency and easier PCR genotyping. To combine these two features for optimized efficiency, we selected a cocktail of LTR-1, LTR-3, GagD, and PolB sgRNAs and cloned their expression cassettes into an all-in-one saCas9 AAV vector using a novel interchangeable in-

fusion cloning strategy. By transient transfection with equal amounts of the duplex or quadruplex sgRNAs/saCas9-expressing single plasmid, we found that the quadruplex sgRNAs/saCas9 plasmid was more effective at reducing EcoHIV-eLuc reporter activity (Figure 2C). This was validated by the PCR genotyping, which showed a stronger reduction of the wild-type band generated by PCR primers across the 5'-LTR and Gag (Figures 2D and 2E). The primers T361/T458 (Figure 1A) detected a fragmental deletion between 5'-LTR and



**Figure 2. Efficacy of Multiplex sgRNAs in an All-in-One AAV Vector**

(A and B) Duplex sgRNAs/saCas9 exhibited stronger inhibition of EcoHIV-eLuc activity (A) and excision of the HIV-1 genome (B). The excision efficiency (%) indicates the density of the fragmental deletion band over the total bands (B). (C–J) Quadruplex sgRNAs/saCas9 exhibited stronger inhibition of EcoHIV-eLuc activity (C) and higher excision efficiency at both 5'-LTR-1/LTR-3 with GagD (D and E) or GagD/PolB with 3'-LTR (F–J). The primer T361/T458 (D) detected fragmental deletions between 5'-LTR-1/LTR-3 and GagD (arrows) and an additional insertion was observed in both the duplex and quadruplex groups (arrowhead). T710/T458 detected the deletion between 5'-LTR-3 and GagD (E). Deletions between GagD or PolB and 3'-LTR-1/LTR-3 were detected by the primer T758/T363 (F) and T689/T363 (G), respectively. The primer pair T689/T711 detected the deletion between PolB and 3'-LTR-1 (H). The genomic DNA was normalized with saCas9 and  $\beta$ -actin (J). HEK293T cells in a 96-well plate were co-transfected with the EcoHIV-eLuc reporter plus two individual monoplex sgRNA-expressing vectors (100 ng each), one duplex sgRNA-expressing vector (100 ng) with an empty pX601 vector (100 ng) to achieve an equal amount of DNA (200 ng, A and B), or one duplex/quadruplex sgRNA-expressing vector and the sgRNA control (100 ng each, C–J). After 48 hr, the ONE-Glo Luciferase Assay (A and C) was performed as described in Figure 1, and direct PCR genotyping (B and D–J) was performed using the indicated primer pairs.

GagD and an additional insertion in the duplex group, while another fragmental deletion expected between 5'-LTR-3 and GagD was observed in the quadruplex group (Figure 2D). The primer pair T710/T458 (Figure 1A) detected the predicted fragment after deletion between the 5'-LTR-3 and GagD sites (Figure 2E). Further PCR genotyping analysis of the Gag/Pol and 3'-LTR regions showed that the quadruplex sgRNA system had greater potential for cleaving the entire HIV-1 genome. The primer T758/T363 detected the two deletions between GagD and 3'-LTR-1 or LTR-3 (Figure 2F), while T689/T363 detected the two deletions between PolB and LTR-1 or LTR-3 (Figure 2G). The primer T689/T711 detected one deletion as predicted (Figure 2H). Comparative analysis of the band intensity for the fragment deletions showed that LTR-1 and GagD were most

effective, while LTR-3 was less efficient in the quadruplex sgRNAs/saCas9 system (Figures 2D, 2F, and 2G). These cleaving patterns suggest that the quadruplex cocktail strategy is the most effective at excising HIV-1 entire genome due to multiplex fragmental deletions and multiple indel mutations around the target sequence.

#### Quadruplex sgRNAs/saCas9 Can Be Packaged in a Single AAV-DJ Vector for More Effective Genome Editing

The successful packaging of monoplex and duplex sgRNAs/saCas9 into a single AAV vector was reported recently.<sup>22</sup> The duplex sgRNAs/saCas9-expressing cassette (4.969 kb) almost reaches the packaging limit of AAV viruses (~5.0–5.2 kb).<sup>23</sup> However, genome sizes ranging from 5.2 to 8.9 kb have been reported for efficient

AAV packaging and gene delivery both in vitro and in vivo.<sup>24–26</sup> Therefore, we tested whether the quadruplex sgRNAs/saCas9 expression cassettes (5.716 kb) can be packaged efficiently into an AAV virus. We selected the AAV-DJ serotype because it combines the features of eight native AAV serotypes (AAV-2, AAV-4, AAV-5, AAV-8, AAV-9, avian AAV, bovine AAV, and caprine AAV) for a higher transduction efficiency in a broader range of cells/tissues,<sup>27</sup> escapes from antibody neutralization,<sup>28</sup> and provides the highest liver transduction efficiency in vivo. Liver enrichment is integral for demonstrating the proof of concept that the HIV-1 provirus can be excised in vivo. For the duplex sgRNAs/saCas9, a viral titer of  $\sim 4.15\text{--}4.3 \times 10^{13}$  genomic copies (GC)/mL (unpurified crude lysate) was obtained as determined by PCR, with a functional titer of  $4.4\text{--}9.7 \times 10^8$  transduction units (TU)/mL, as determined by immunocytochemistry with an anti-hemagglutinin (HA) antibody that detects the HA-tagged saCas9 protein. For the quadruplex sgRNAs/saCas9, a similar genomic titer of  $4.2 \times 10^{13}$  GC/mL was obtained with a functional titer of  $2.5 \times 10^8$  TU/mL, which is 1.8- to 3.9-fold less than that from the duplex sgRNAs/saCas9 (Figure S2). These data suggest that the quadruplex sgRNAs/saCas9 AAV can be successfully packaged into an AAV-DJ virus that can efficiently infect mammalian cells with functional expression of the saCas9 protein.

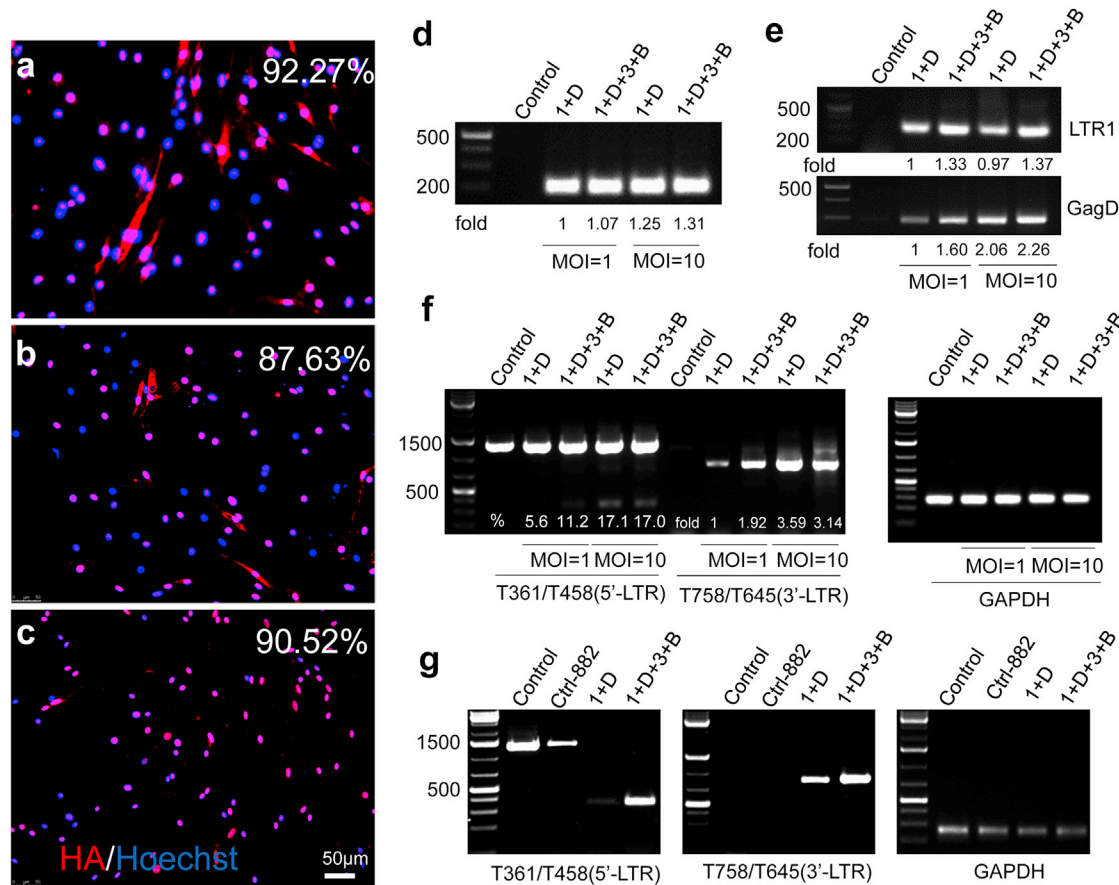
#### **AAV-Mediated Gene Delivery of Multiplex sgRNAs/saCas9 Effectively Excises HIV-1 Proviral DNA in Neural Stem Cells from HIV-1 Tg26 Transgenic Mice**

The HIV-1 Tg26 transgenic mouse was previously generated to contain a loci integrated with more than 10 copies of the pNL4-3 proviral genome with a 3.1-kb deletion spanning the partial Gag and Pol genes to render virus replication incompetent.<sup>29</sup> These Tg26 mice develop a well-characterized kidney disease associated with HIV-1 viral gene expression<sup>30,31</sup> and potential uncharacterized neurological and cardiovascular deficits.<sup>32</sup> Before in vivo studies, we evaluated the HIV-1 excision efficiency of multiplex sgRNAs/saCas9 using neural stem cells (NSCs)/neural progenitor cells (NPCs) isolated from Tg26 transgenic mice. The rationale for using NSCs/NPCs is the increasing evidence showing the potential HIV infection/latency in NSCs/NPCs,<sup>33–40</sup> which may relate to HIV-associated neurocognitive disorders (HANDs). Immunocytochemistry with an anti-HA antibody in mouse NSCs/NPCs after sgRNAs/saCas9 AAV-DJ infection showed that the monoplex sgRNA/saCas9 AAV-DJ infected 92.3% of cells determined at 20 days post-inoculation with a functional MOI (fMOI) of 10, which equals  $1.8 \times 10^5$  genomic MOI (gMOI), suggesting that the AAV-DJ virus is highly effective at infecting NSCs/NPCs. Equal fMOIs of duplex ( $6.5 \times 10^5$  gMOI) or quadruplex ( $2.1 \times 10^6$  gMOI) sgRNAs/saCas9 AAV-DJ (Figure S2) produced similar infection efficiencies of around 87.63% and 90.52%, respectively (Figures 3A–3C). Afterward, we determined the efficiency of both duplex and quadruplex sgRNAs/saCas9 in cleaving the integrated HIV-1 proviral DNA in cultured NSCs/NPCs. We first validated the dose-dependent delivery of saCas9 and sgRNAs by PCR analysis at 2 days after AAV-DJ infection (Figures 3D and 3E). Then, we evaluated the HIV-1 excision efficacy by PCR genotyping. Both duplex and

quadruplex sgRNAs/saCas9 induced a dose-dependent deletion of the predicted DNA fragments at 2 days after AAV infection, while quadruplex sgRNAs/saCas9 induced a higher cleaving efficiency when an equal fMOI was used (Figure 3F). The cleavage efficiency was dramatically increased at 20 days post-infection (Figure 3G) because of the long-term AAV transduction leading to sustained expression of saCas9/sgRNAs and cumulative genome editing. Again, the quadruplex induced a higher efficiency of HIV-1 excision (Figure 3G) despite the similar infection efficiency (Figures 3B and 3C). These data suggest that AAV-mediated multiplex sgRNAs/saCas9 can effectively excise the integrated HIV-1 proviral genome in cultured NSCs/NPCs from HIV-1 Tg26 transgenic mice and the oversized quadruplex sgRNAs/saCas9 AAV-DJ retains high efficiency of gene transduction and functional genome editing.

#### **Multiplex sgRNAs/saCas9 Effectively Excises HIV-1 Proviral DNA in Various Tissues/Organs of HIV-1 Tg26 Transgenic Mice**

To test whether the Cas9/sgRNA system is capable of excising integrated HIV-1 proviral DNA in vivo, we selected the AAV-DJ serotype for in vivo gene delivery.<sup>27,41</sup> As shown in Figure S3A, one single dose of AAV-DJ virus ( $4.15\text{--}4.20 \times 10^{12}$  GC) via tail-vein injection could efficiently deliver sgRNAs- and saCas9-expressing cDNA into the liver and spleen in the first week alone. Histopathological and immunohistochemical examination of the liver did not identify any AAV-related tissue toxicity. The observed high transduction efficiency and minimal tissue toxicity is consistent with a previous report using similar dosages in adult mice.<sup>42</sup> One additional injection of the same dose at 1 week after the first injection did show a consistent pattern of transgene distribution (Figure S3B). The AAV-DJ carrying duplex or quadruplex sgRNAs along with saCas9 results in similar gene delivery efficiencies in several organs (Figures S3A and S3B), even though the insertion of quadruplex sgRNAs/saCas9 appears to be beyond the limits of AAV packaging capacity. To determine the efficacy of sgRNAs/saCas9 in cleaving the integrated HIV-1 genome in animal tissues/organs, PCR genotyping was performed with a pair of primers that can amplify the fragmental deletion and ascertain the excision event.<sup>11,15,16</sup> For the 5'-LTR/Gag deletion, we did not observe any fragmental deletions 1 week after infection by using nested PCR amplification, which is likely due to a low expression level of saCas9 in the first week after in vivo AAV delivery (data not shown). However, after conducting the optimization of the nested PCR analysis using the indicated primer pairs (Figure S3C), we observed the fragmental deletion to various extents in most organs/tissues, particularly in the liver, bone marrow, and spleen (Figure S3D). The additional AAV injection at 7 days after the first infection did increase the cleaving efficiency in most organs/tissues (Figure S3D). Because there are more than 10 copies of the HIV-1 transgene in a single tandem repeat in Tg26 mice,<sup>29</sup> the size of the fragmental deletion, which is based on where the excision occurs in the tandem repeat, varied; some were larger than predicted, implying potential differences in DNA repairing events between tissues/organs. These fragmental deletions were verified by TA cloning of representative bands and Sanger sequencing (Figure S3E). Again, both duplex and quadruplex sgRNAs/saCas9



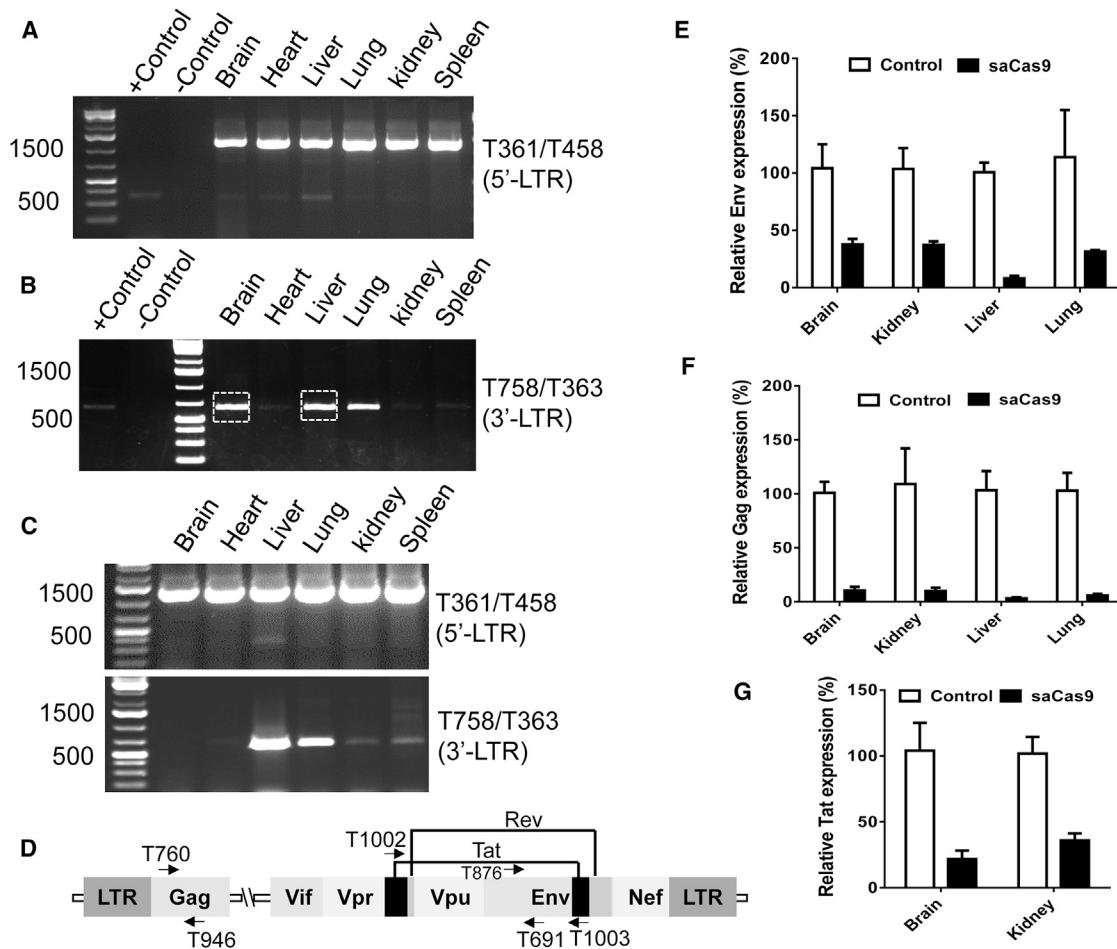
**Figure 3. AAV-DJ-Mediated Delivery of the Multiplex sgRNAs/saCas9-Expressing Vector Effectively Excises the HIV-1 Integrated Genome in NSCs from Tg26 Transgenic Mice**

(A–C) Similar infection efficiency of monoplex (A), duplex (B), and quadruplex (C) in NSCs at 20 days post-infection with a fMOI of 10. The infected cells were determined by immunofluorescence cytochemistry with anti-HA tag antibody. (D–F) Direct PCR analysis validated the efficient delivery of transgene saCas9 (D) and LTR-1/GagD (E) and excision of 5'-LTR/GagD and GagD/3'-LTR (F) at 2 days post-infection. Relative fold changes or excision efficiency (%) were calculated via densitometry. (G) Quadruplex sgRNAs/saCas9 showed stronger cleavage efficacy than the duplex sgRNAs/saCas9 at 20 days post-infection with a fMOI of 10. The primers T361/T458 detected the deletions between 5'-LTR-1/LTR-3 and GagD, and the primers T758/T645 detected the deletions between GagD and 3'-LTR-1/LTR-3 (F and G). GAPDH was used for normalization of genomic DNA. Control-882 AAV-DJ virus was used as a nonfunctional control. GAPDH, glyceraldehyde 3-phosphate dehydrogenase; NSC, neural stem cell.

AAV-DJ induced a similar pattern of fragmental deletion mainly in the liver, while other organs showed a variable pattern of fragmental deletions (Figure S3D).

To expand the tissue ranges and potential cell types of HIV-1 excision in Tg26 transgenic mice, we packaged the quadruplex sgRNAs/saCas9 all-in-one vector into AAV-DJ/8, which increases gene delivery efficiency in other tissues/organs rather than the liver.<sup>27,41</sup> To maximize the in vivo transduction efficiency, we purified and concentrated the AAV-DJ/8 virus using large-scale preparation.<sup>43</sup> Packaging efficiency for the oversized quadruplex sgRNAs/saCas9 reached  $3.07 \times 10^{14}$  GC/mL, which was close to the titer of a control AAV-Cre vector ( $4.21 \times 10^{14}$  GC/mL) that was prepared at the same time. One intravenous (i.v.) injection of the purified quadruplex sgRNAs/saCas9 AAV-DJ/8 ( $1.5 \times 10^{12}$  GC/mouse) resulted in effi-

cient transduction of the saCas9 transgene in most organs/tissues collected (Figure S4A). The expression of saCas9 mRNA and protein in transduced organs/tissues was validated by RT-qPCR with primers targeting saCas9 and immunohistochemistry with an anti-HA antibody (Figure S5A). For the 5'-LTR/Gag primer pair, we observed visible fragmental deletions of the designed size using conventional PCR conditions (Figure 4A). Using the Gag/3'-LTR primer pair, we observed the fragmental deletion in liver, lung, and brain tissues under conventional PCR conditions (Figure 4B). TA cloning and Sanger sequencing validated the fragmental deletion of the designed size in both the brain and liver (Figure S6). One additional infection ( $1.5 \times 10^{12}$  GC/mouse) 2 weeks after the first injection expanded the range of transduced tissues/organs and increased the gene transduction efficiency of saCas9 and sgRNAs 4 weeks after the first injection (Figures S4B and S5B). The excision efficiency as shown by the

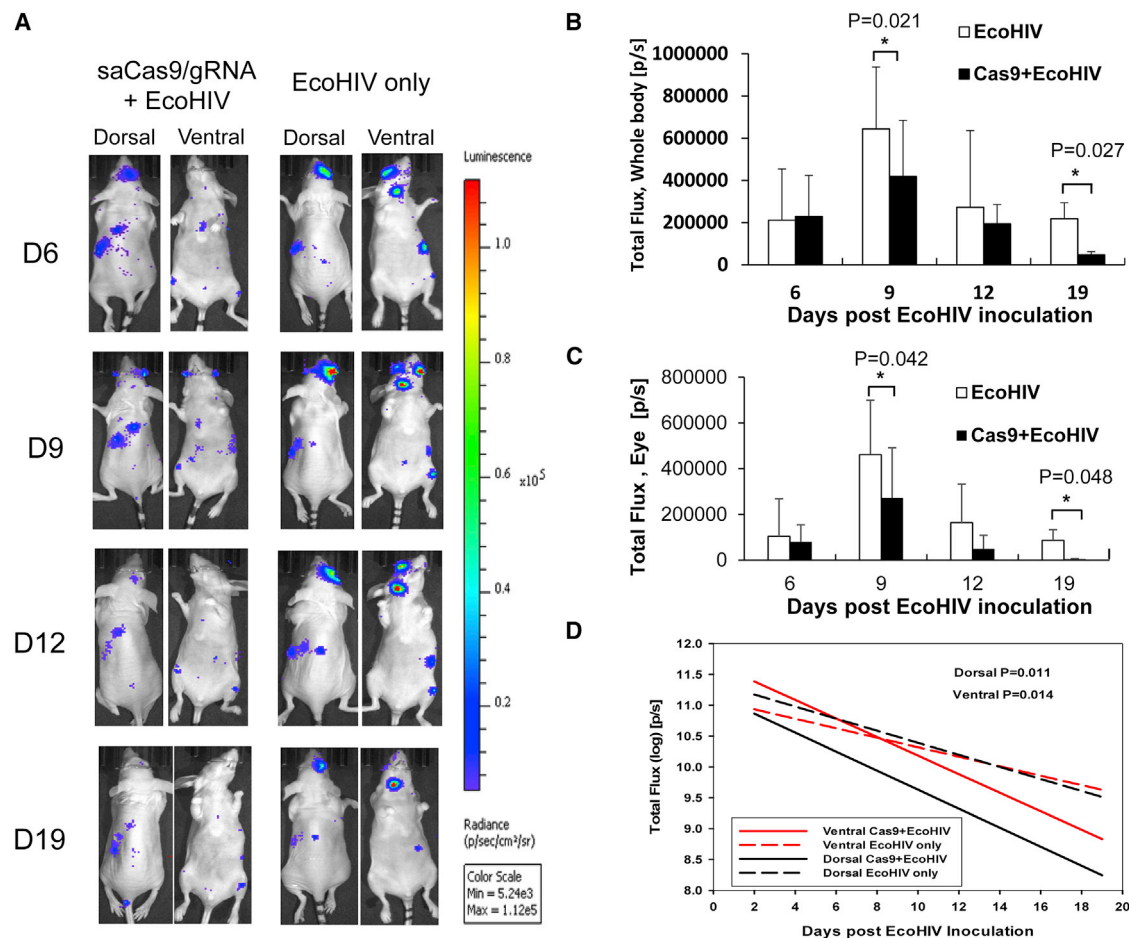


**Figure 4. Quadruplex sgRNAs/saCas9 AAV-DJ/8 Induces HIV-1 Proviral DNA Excision and a Robust Reduction in HIV-1 RNA Transcription in Most Organs/Tissues of Tg26 Transgenic Mice**

(A and B) Tg26 mice were injected via the tail vein with purified AAV-DJ/8 virus ( $1.535 \times 10^{12}$  GC/mouse). Two weeks after injection, mice were euthanized and their tissues were collected for genomic DNA extraction and PCR genotyping. The positive control represented excision of the EcoHIV-eLuc reporter in HEK293T cells transfected with AAV-saCas9/sgRNA (LTR-1 plus GagD) vector. The negative control represented the mice injected with mock AAV virus. (C) An additional injection of AAV-DJ/8 was given at 2 weeks after the first injection and tissue samples were harvested 2 weeks later for PCR genotyping with the indicated primers. The representative deletion fragments, indicated by the dotted boxes in (B), were verified with TA cloning and Sanger sequencing (see Figure S6). (D) Diagram showing the location of RT-qPCR primer pairs for HIV transcripts. (E–G) RT-qPCR analysis showing a robust decrease ( $p < 0.001$ ) in the levels of HIV-1 RNA transcripts in sgRNAs/saCas9-treated mice as compared with the control mice injected with mock AAV virus. Data represent the mean  $\pm$  SD of triplicate experiments after normalization with housekeeping gene Ppia.

fragmental deletion with the Gag/3'-LTR primer pair for PCR genotyping was also increased in the liver and lungs and expanded to other organs such as the kidney and spleen (Figure 4C). RT-qPCR analysis revealed a significant reduction in mRNA expression of structural viral proteins Gag and Env in the brain, kidneys, liver, and lungs (Figures 4D–4F) as well as the regulatory protein Tat in the brain and kidneys (Figures 4G and S4C). This is supported by our other studies in HIV-1 transgenic mice and rats.<sup>17</sup> Taken together, both AAV-DJ and AAV-DJ/8 viruses harboring either duplex or quadruplex sgRNAs/saCas9 achieved sufficient gene delivery in vivo and subsequent excision of the integrated HIV-1 genome in various organs/tissues of Tg26 transgenic mice.

Whole-genome sequencing and off-target analysis in our previous studies<sup>11,15,16</sup> and other reports<sup>2,44–47</sup> did not detect any apparent off-target effects of spCas9 with multiplex sgRNAs in cultured primary cells or cell lines. To examine any potential in vivo off-target effects in this study, we performed a T7E1 assay using genomic DNA from the tissues/organs of Tg26 mice treated with quadruplex sgRNAs/saCas9. Based on potential off-target sites predicted by bioinformatics analysis as we previously described,<sup>11,48</sup> we selected two to three predicted sites for each sgRNA target (LTR-1, LTR-3, and GagD) with the highest score of specificity and we performed high-fidelity PCR generating a 500- to 800-bp product for T7E1 evaluation. As shown in Figure S7, no mutations



**Figure 5. Bioluminescence Imaging Analysis Shows that Quadruplex sgRNAs/saCas9 AAV-DJ/8 Induces Excision of EcoHIV-eLuc In Vivo**

(A) AAV-DJ/8 was administered via a retro-orbital injection into the blood sinus of the right eye of each mouse ( $n = 3$ ) right after EcoHIV-eLuc inoculation via the same injection route. Representative bioluminescence imaging of one mouse on days 6, 9, 12, and 19 post-EcoHIV inoculation is shown. All of the images were measured for radiance (photon/second/Sr<sup>2</sup>) and pseudocolored with the same rainbow scale for fair comparison. (B) Total flux of bioluminescence (total photons/second, P/S) measured from the entire body of each group ( $n = 3$ /group until day 19 [ $n = 2$ ]) on each indicated day post-EcoHIV-eLuc inoculation. (C) Bioluminescence output from EcoHIV-eLuc-infected cells in each mouse was measured from the ROI defined on the right eye for comparison. Data represent the mean  $\pm$  SD, with statistical significance indicated by an asterisk in each comparison ( $p < 0.05$ , Student's one-sided t test). (D) The bioluminescence output from the neck lymph node of each mouse ( $n = 3$  until day 19 [ $n = 2$ ]) was used for comparison of the efficacy of saCas9/gRNA treatment longitudinally on HIV excision ( $p$  values are two-sided using a linear mixed-effects model).

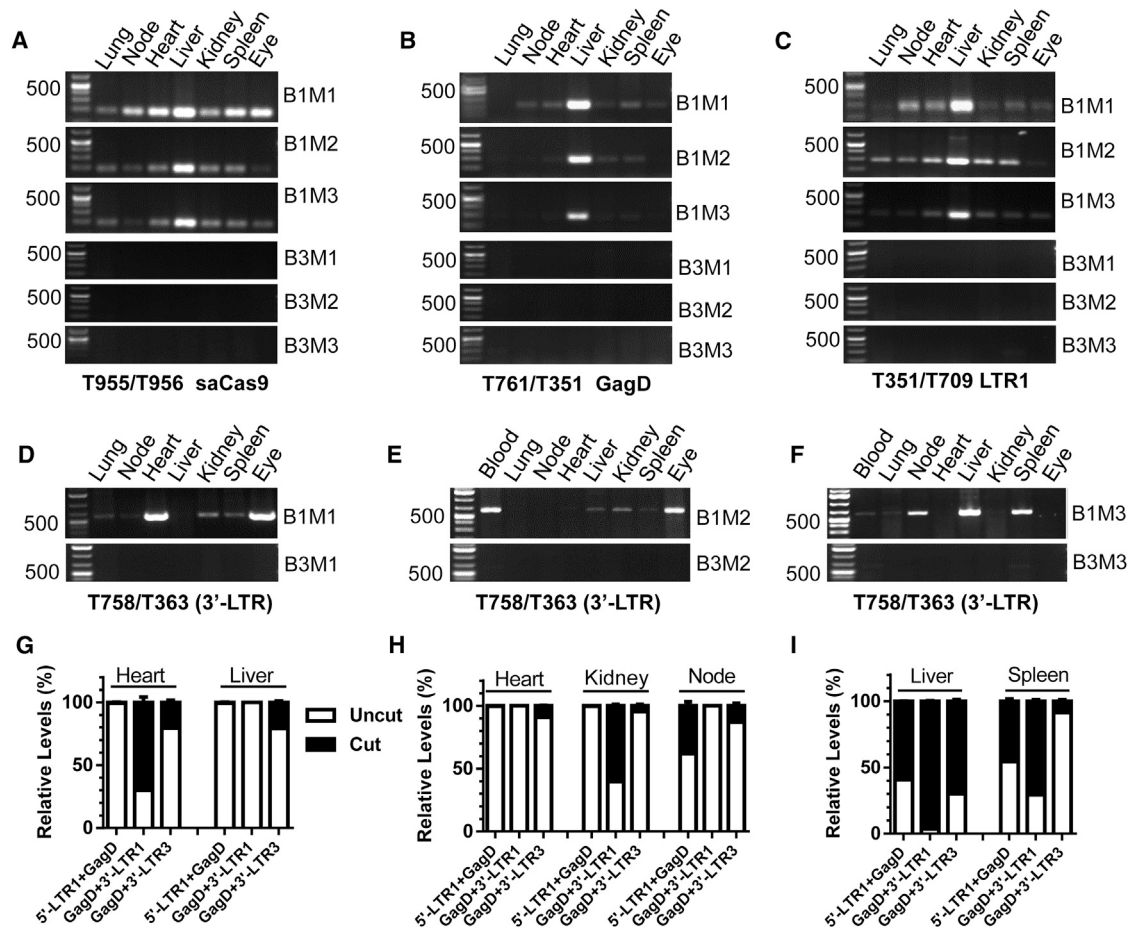
were found in seven predicted off-target sites within the mouse genome but a clear mutation was detected in the on-target PCR product.

#### In Vivo HIV-1 Excision Is Visualized in an Experimental Mouse Model Inoculated with Cell-Free EcoHIV-eLuc Reporter Virus

A transgenic mouse model, such as the aforementioned Tg26 mouse, can be used to test our saCas9 system on HIV proviral excision but does not recapitulate clinical HIV infection/latency in terms of HIV-1 proviral copies/loci, random integration, various infected cell types, and the high rate of HIV mutation. To further test the feasibility and efficiency of HIV-1 excision by our approach using AAV-sgRNA/saCas9 after systemic HIV-1 infection, we utilized an EcoHIV-eLuc reporter virus to infect a conventional NCr strain

of nude mice.<sup>19,49,50</sup> Right after EcoHIV-eLuc inoculation (total 250 ng HIV p24/mouse,  $n = 3$ ) via retro-orbital injection in the right eye, a single dose of AAV-DJ/8 ( $3.07 \times 10^{12}$  GC/mouse) was injected into the same mice via the same injection route immediately after EcoHIV inoculation. Another three NCr mice were injected with only EcoHIV-eLuc of the same dosage as a negative control group. We performed longitudinal bioluminescence imaging (BLI) of the live animals over 19 days after EcoHIV-eLuc inoculation (Figure 5A). We observed a significant reduction in EcoHIV-eLuc reporter activity on both days 9 and 19 from the entire mouse or the right eye area (Figures 5B and 5C). Compared with the negative control group, the reduction in EcoHIV-eLuc infection by AAV-sgRNAs/saCas9 treatment was statistically significant, as measured by the bioluminescence output from the neck lymph node at the dorsal ( $p < 0.011$ ) and





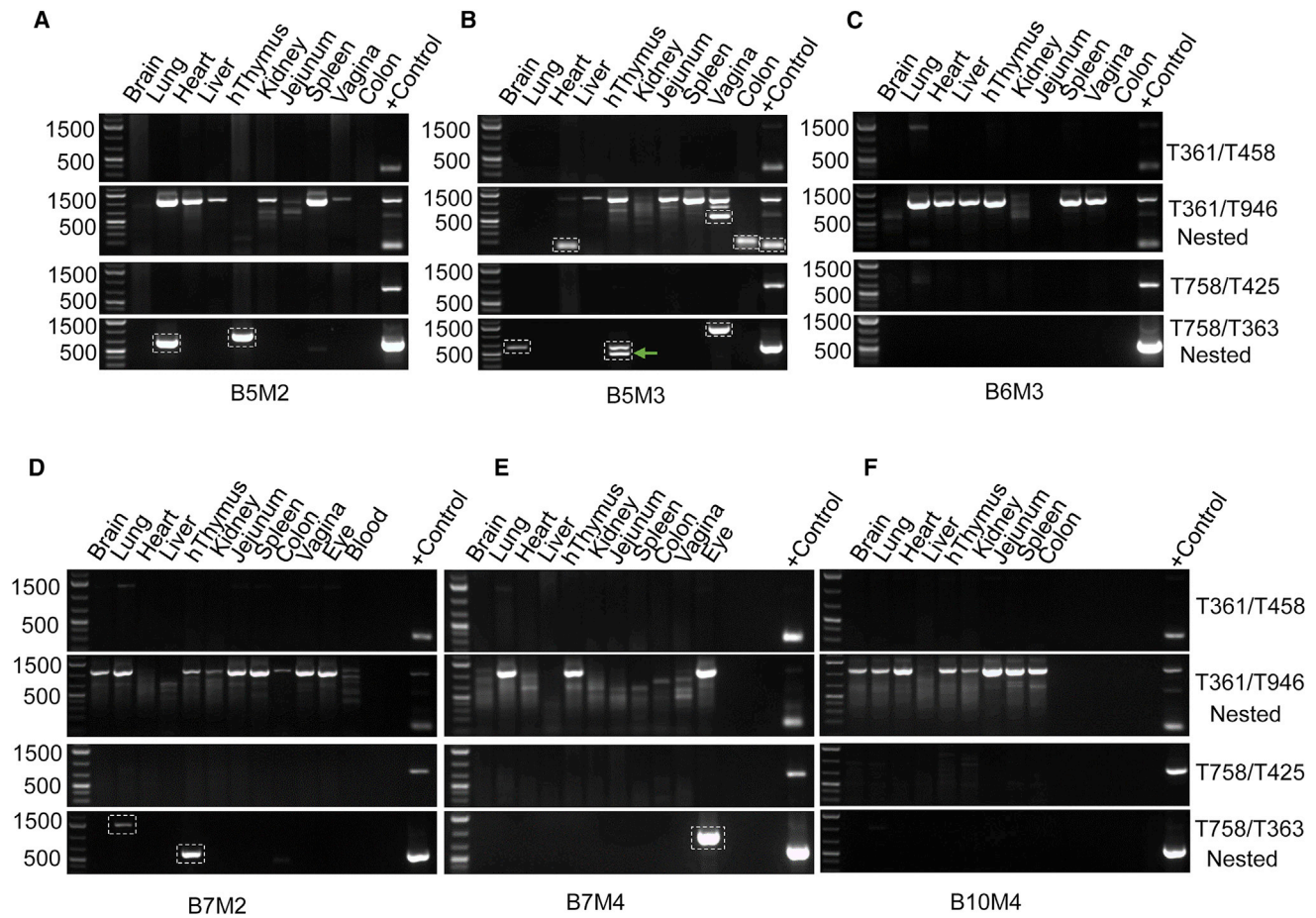
**Figure 6. PCR Genotyping and qPCR Analysis Validated the Gene Transduction and Excision Efficiency of EcoHIV-eLuc by Quadruplex sgRNAs/saCas9 AAV-DJ/8 in Various Organs/Tissues of Mice**

(A–C) Various extents of gene transduction in indicated tissues/organs for saCas9 (A), GagD (B), and LTR-1 (C) at 19 days after infection with quadruplex sgRNAs/saCas9 AAV-DJ/8 (upper panel) or empty control AAV-Cre-DJ/8 (lower panel). (D–F) Conventional PCR genotyping showing various extents of EcoHIV DNA excision between GagD and the 3'-LTR in each indicated tissue/organ (upper panel) compared with the negative control (lower panel). (G–I) qPCR analysis of three indicated fragmental excision types in different tissues/organs selected from B1M1 (G), B1M2 (H) and B1M3 (I). Data represent the mean  $\pm$  SD of triplicate reactions and are expressed as relative levels over the internal uncut (non-targeting) region of EcoHIV DNA in the same tissues/organs. BxMx, box and mouse number.

ventral ( $p < 0.014$ ) sides during the entire course of the experiment (19 days) using a linear mixed-effects model for statistical significance (Figure 5D).

To validate the in vivo excision efficiency, we performed PCR genotyping using the established above-mentioned PCR conditions at the endpoint of experiments. The efficient transduction of saCas9, GagD, and LTR-1 transgenes by AAV-DJ/8 was detected mainly in the liver, while it varied slightly in other organs and tissues (Figures 6A–6C). Using conventional PCR, we observed an efficient and robust excision of the predicted fragment in most tissues/organs, with the highest efficacy observed in the injected right eye area, heart, blood, liver, spleen, and lymph nodes (Figures 6D–6F). The variability in edited tissues from different animals may result from the random cellular/tissue distribution of EcoHIV-eLuc infection, AAV-mediated

gene delivery, and Cas9-mediated excision. Again, some of the fragmental deletions were validated by TA cloning and Sanger sequencing (Figure S8). To quantify the excision efficiency of quadruplex sgRNAs/saCas9 in vivo, we performed qPCR analysis of the excised fragments using three different primer combinations (Figure S9). The melting peak curve analysis clearly identified three types of proviral DNA excisions as designed: 5'-LTR-1 to GagD, GagD to 3'-LTR-1, or GagD to 3'-LTR-3. Using the uncut (non-targeting) region (the sequence flanking both target sites) as internal normalization, we assessed the excision efficiency in selected tissues/organs (Figures 6G–6I). Although the individual number may underestimate the efficiency due to various combinations of excision, the results clearly showed that the excision efficiency (Figures 6G–6I) was consistent with PCR genotyping (Figures 6D–6F). The excision types and efficiency varied with different organs/tissues (Figures 6G–6I).



**Figure 7. Excision of HIV Proviral DNA by Quadruplex sgRNAs/saCas9 AAV-DJ/8 in Humanized BLT Mice Inoculated with HIV<sub>NL-BaL</sub>-eLuc at 2, 3, and 4 Weeks after AAV Treatment**

Conventional PCR (35 cycles) using primer pair T361/T458 (for amplifying 5'-LTR/Gag) was used to detect the presence of HIV proviral DNA, which showed a weak wild-type band (1.4 kb) in the lung tissue of the B6M3 negative control without saCas9/sgRNA treatment (C). Nested PCR (35 cycles) using primer pair T361/T946 (for 5'-LTR/Gag) with the template from the first-round PCR (22 cycles with the primer pair T361/T458) identified the wild-type DNA fragment (1.13 kb) of HIV-1 proviral DNA in most, if not all, organs/tissues of each BLT mouse (A–F) and the PCR product of fragmental deletions resulted from HIV-1 excision in the heart, colon, and vagina of HIV-infected BLT mice treated with saCas9/sgRNA (A). Nested PCR with the primer pair T758/T363 (for Gag/3'-LTR) using the template from the first-round PCR (25 cycles, T758/T425) identified the fragmental deletion bands in organs/tissues of saCas9/sgRNA-treated BLT mice (A, B, D, and E) but not the untreated BLT mice (C and F). The fragmental deletion bands resulted from saCas9 editing were framed with dotted boxes followed by TA cloning and Sanger sequencing for validation (see [Figures S10](#) and [S11](#)). The positive control represents the excision of the EcoHIV-eLuc reporter in HEK293T cells transfected with AAV-saCas9/sgRNA (LTR-1 plus GagD) vector. BxMx, box and mouse number.

Interestingly, all three types of excision occurred with 96% efficiency for the GagD/3'-LTR in the B1M3 mouse liver ([Figure 6I](#)). These data suggest that the quadruplex sgRNAs/saCas9 AAV-DJ/8 is highly effective at excising the HIV proviral genome even in newly infected cells during in vivo systematic HIV infection.

#### In Vivo Excision of HIV-1 Provirus from Latently Infected Human Cells Is Detected in Humanized BLT Mice

To demonstrate the feasibility of saCas9/sgRNA genome editing on excising the HIV-1 provirus in a more clinically relevant animal model, we administered AAV-DJ/8 carrying sgRNAs/saCas9 into humanized BLT mice inoculated with a R5-, M-tropic HIV<sub>NL-BaL</sub>-eLuc reporter virus via vaginal mucosal transmission (n = 3; mouse iden-

tification [ID] nos. B5M2, B5M3, and B6M3) or intraperitoneal injection (n = 3; mouse ID nos. B7M2, B7M4, and B10M4). Whole-body BLI (unpublished data) with a sensitivity of visualizing five single cells in a niche was used to longitudinally visualize and analyze the spatio-temporal dynamics of HIV-1 dissemination in these BLT mice.<sup>50</sup> These BLT mice showed a certain degree of either local or systemic HIV dissemination, but the infection diminished and completely ceased after the first 60 days without any visible infection determined by whole-body BLI examination in the following 70 days or longer. This phenomenon could possibly be attributed to T-cell depletion, HIV-1 latency, or the possible silencing of eLuc reporter by mutations during HIV-1 replication. Since no HIV-1 genome was detected by nested PCR in some of the tissues ([Figure 7](#)), the contribution of

mutation to the silenced reporter expression is less likely, which is further confirmed by our observation in another ongoing study that BLT mice showed successful systemic infection and latency under cART (unpublished data). The quadruplex sgRNAs/saCas9 AAV-DJ/8 was administered via both intravenous and intravaginal routes in HIV-infected BLT mice B5M2 and B5M3, but not B6M3, which served as the negative control. A single intravenous injection of AAV-DJ/8 was administered to HIV-infected BLT mice B7M2 and B7M4, but not B10M4, which served as the negative control. At 2–4 weeks after AAV delivery, BLT mice were euthanized and genomic DNA samples were extracted from major organs/tissues for PCR genotyping as described above. The presence of HIV-1 proviral DNA in the harvested organs/tissues was validated with nested PCR using the 5'-LTR/Gag primer pairs (T361/T458 then T361/T946) (Figure 7). Importantly, we detected the fragmental deletion with both the 5'-LTR/Gag primer pair T361/T946 and the Gag/3'-LTR primer pair T758/T363 (Figures 7A, 7B, 7D, and 7E) in the vagina, heart, lungs, right eye (AAV injection site), colon, and human thymic organoid under the left kidney capsule. In particular, we observed a much higher intensity of the band representing a fragmental deletion, while the band representing the uncut proviral DNA was barely visible in the same tissue (e.g., the heart and colon of the B5M3 mouse) (Figure 7B). This result clearly suggests an efficient excision of HIV-1 proviral DNA in latently infected human cells within a solid tissue via a single i.v. injection of quadruplex sgRNAs/saCas9 AAV-DJ/8. TA cloning of these PCR products and Sanger sequencing validated the fragmental deletions (Figure S10 for PCR products from 5'-nested PCR using primer pair T361/T946; Figure S11 for PCR products from 3'-nested PCR using primer pair T758/T363). Within the cleaved residual sequence at both the 5'-LTR/Gag region (Figure S10) and the Gag/3'-LTR region (Figure S11), various degrees of insertion or deletion were observed between the predicted cleaving sites at the third nucleotide from PAM of the targeting sgRNAs (Figures S10A and S11A). These sets of pre-clinical data demonstrate the proof of principle that quadruplex sgRNAs/saCas9 can be delivered by AAV-DJ/8 into resident HIV-1 latently infected human cells in major tissues and organs in a humanized mouse model to excise integrated HIV-1 proviral DNA.

## DISCUSSION

To our knowledge, this study is the first to demonstrate the effective excision of HIV-1 proviral DNA from the host genome in pre-clinical animal models using saCas9 and multiplex sgRNAs delivered by an all-in-one AAV-DJ/8 vector. These AAV viruses induce effective gene delivery and HIV-1 excision *in vitro* as well as *in vivo* in HIV-1 Tg26 transgenic mice, EcoHIV-eLuc acutely infected mice, and HIV-1-infected humanized BLT mice. Based on the extensive and highly promising clinical application of AAVs in gene therapy (for review, see Fuchs and Desrosiers<sup>51</sup> and Brady et al.<sup>52</sup>), our study demonstrates the proof of principle for treating HIV-1/AIDS with the CRISPR/Cas9 genome editing approach. Furthermore, the combination of easy/fast multiplex sgRNA cloning, rapid reporter screening, reliable direct PCR genotyping, and highly efficient AAV virus production provides potential for using saCas9/sgRNA genome editing

in personalized and precision medicine. Using multiplex sgRNAs to target multiple HIV proviral DNA simultaneously is beneficial to prevent the potential escape of HIV due to the high mutation rate during HIV-1 replication because the probability of double mutations in two different target sequences in the same HIV proviral genome is much lower than that of single mutation in a target site. Finally, we demonstrate that the quadruplex sgRNAs/saCas9 strategy combining sgRNAs targeting two LTR sites (at both the 5' and 3' ends) and two structural proteins (Gag and Pol) is a promising therapeutic candidate for HIV-1 excision, due to maximization of the possibility of multiple indel mutations on six on-target sites and fragmental deletions among these sites. This quadruplex strategy also provides additional advantages, including (1) its ability to greatly reduce the potential of HIV-1 escape,<sup>53,54</sup> (2) the high possibility of HIV-1 excision despite the continuous proviral mutation in the clinical HIV-1 patient population, (3) reliable loss-of-function achievement due to removal of a substantial portion of the target gene or genome,<sup>55</sup> and (4) optimal efficiency of excision.<sup>16</sup>

*In vivo* genome editing efficiency relies mainly on effective gene delivery. Lentivirus-mediated Cas9/gRNA delivery has been preferentially and extensively employed in most laboratories,<sup>56,57</sup> however, safety and immunogenicity concerns limit its clinical applications.<sup>58</sup> AAV-mediated gene therapy is becoming a promising approach in clinical trials because it reduces the risks of mutagenesis, toxicity, and severe host immune response.<sup>3,59</sup> The feasibility and efficiency of AAV-mediated Cas9 delivery has been tested extensively both *in vitro* and *in vivo* (for review, see Moser and Hirsch<sup>60</sup>). Several laboratories using minimal promoters and poly(A) sequences have reported success in applying AAV-spCas9 in cultured cells and animal models.<sup>4,61–64</sup> Splitting spCas9 into functional N-terminal and C-terminal parts<sup>65,66</sup> provides a new way to deliver spCas9 more efficiently in pre-clinical animal models.<sup>67,68</sup> The AAV-split-spCas9 nickase vector using the GyrA intein system dramatically increases the specificity of genome editing.<sup>69</sup> Among several smaller Cas9 orthologs, saCas9 has been well characterized and is highly promising for animal studies and clinical applications. The high efficiency of AAV packaging and gene transduction in animal models using saCas9 plus one or two sgRNA-expressing cassettes has been tested in an all-in-one AAV vector with various AAV serotypes.<sup>2,4–7</sup> Here, we demonstrate that duplex HIV-sgRNAs with saCas9 in an all-in-one AAV-DJ vector are capable of delivering transgenes and excising the HIV-1 genome in cultured cells and particularly in various organs/tissues of Tg26 transgenic mice. This is supported by our recent study using duplex sgRNAs/saCas9 AAV9 in HIV-1 transgenic mice and rats.<sup>17</sup> Furthermore, we demonstrate that the oversized all-in-one AAV vector encoding quadruplex sgRNAs and saCas9 is similarly capable of achieving high-titer packaging into the AAV-DJ/8 virus and inducing effective excision of the HIV-1 genome *in vitro* and *in vivo*.

Several approaches to place multiplex sgRNA-expressing cassettes in an all-in-one vector have been reported. Using the RNA processing property of the Csy4 protein or ribozymes, a single transcript under

a Pol II promoter can be processed into multiple sgRNAs.<sup>70,71</sup> Multiplex vectors with up to seven sgRNAs under the same U6 promoter have been successfully constructed using the Golden Gate cloning method, and their multiplex targeting efficiency has been tested for multiplex genome/epigenome editing and simultaneous activation/repression of multiple genes.<sup>72</sup> Multiplex sgRNAs driven by four independent Pol III promoters (human U6 promoter, mouse U6 promoter, 7SK, and H1) have been also tested.<sup>57</sup> Here we developed a novel In-Fusion (Clontech) cloning strategy with interrogated exchange of two restriction enzymes, rendering easy and fast cloning of any number of multiplex sgRNAs into a single vector. This approach is applicable to any multiplex sgRNA under any Pol III promoter and to various viral gene delivery systems. Although we showed that four sgRNAs under four identical U6 promoters exhibited sufficient activity to induce target DNA cleavage, we found that the sgRNA LTR-1, GagD, and PolB in the quadruplex sgRNAs/saCas9 system are best, while LTR-3 is weaker in cleaving HIV-1 provirus although it does show a high potency of cleavage in the duplex sgRNA system. Whether the location of each sgRNA among the multiplex sgRNA cascade affects sgRNA expression efficiency warrants further investigation. In addition, it would be interesting to optimize the combination of various sgRNA-driven promoters<sup>57</sup> for maximal AAV packaging and gene transduction.

This study established the feasibility of using quadruplex sgRNAs/saCas9 AAV gene therapy in excising the integrated HIV-1 provirus in both Tg26 transgenic mice and humanized BLT mice. sgRNAs/saCas9 can be considered as a pre-exposure prophylaxis (PrEP) during EcoHIV inoculation in conventional mice. The intravenous administration of AAV-DJ or AAV-DJ/8 achieved extensive gene transduction and HIV-1 excision in most tissues/organs, with the highest in liver followed by the heart, lungs, spleen, vagina, colon, and lymph nodes. Using outgrowth assays, it was estimated that only ~30 HIV-1 latently infected resting T cells (total  $3 \times 10^6$  resting T cells/BLT mouse  $\times$  9.9 infectious units per million cells) can be detected in each HIV-1-infected BLT mouse.<sup>73</sup> Because of the difficulty in isolating HIV-infected resting T cells from the high density of solid lymph tissue or somatic organs of humanized BLT mice or EcoHIV-infected mice, we employed a novel in vivo imaging system as we previously described<sup>50</sup> to monitor suppressed HIV-1 expression longitudinally to establish a latency model, followed by PCR genotyping to detect the existence or absence of proviral DNA in tissues/organs. One of the priorities in the HIV/AIDS field is to eliminate proviruses from latently infected resting CD4<sup>+</sup> T cells and other latent cellular reservoirs in vivo. This study demonstrates the clear excision of Gag/3'-LTR and 5'-LTR/Gag fragments in latently infected human cells within mouse multiple organs/tissues by a single AAV-DJ/8 administration in HIV-infected humanized BLT mice. This finding also validates the vast extent of tissue reservoirs harboring HIV-1 viruses. However, further studies are needed to target latently infected T cells in order to eliminate viremia rebound after cART.

The findings reported here provide justification for further clinical development of the Cas9/sgRNA approach for HIV-1 excision in pre-

venting and improving HIV-1 diseases. However, its pharmacological index and window need to be evaluated further in a more clinically relevant animal model, such as simian immunodeficiency virus (SIV)-infected non-human primates. In addition, the future of the CRISPR/Cas9 genome editing system in vivo would rely on a very effective gene/protein delivery vehicle such as nanoparticles, which can be manufactured in different sizes with different targeting properties.<sup>74,75</sup> Gene delivery efficiency will be improved over time for HIV-1 latent cells, such as resting CD4<sup>+</sup> T cells, monocytes/macrophages, or hematopoietic stem cells, via the continuous and extensive exploration of new AAV serotypes,<sup>62</sup> integrase-deficient lentiviruses,<sup>76</sup> and nanoparticles.<sup>7,74,77</sup>

Potential off-target effects of the Cas9/sgRNA system in mammalian cells have been a great concern in this field. Many technologies and strategies have been developed in recent years and Cas9 specificity has been improved significantly; however, continued vigilance is still required (for review, see Tycko et al.<sup>78</sup>). Although plenty of studies, including ours, have used various strategies, such as whole-genome sequencing,<sup>11,15,16</sup> genome-wide, unbiased identification of DSBs enabled by sequencing (GUIDE-seq),<sup>44,79</sup> digenome sequencing (digenome-seq),<sup>80,81</sup> and direct in situ breaks labeling, enrichment on streptavidin, and next-generation sequencing (BLESS)<sup>2</sup> to measure off-target effects, none or very few off-target effects have been detected thus far.<sup>2,11,44-47,82,83</sup> However, further comprehensive and deep analysis on the potential off-target effects for Cas9/sgRNA-mediated HIV excision is still needed before clinical trials.

In conclusion, the saCas9 protein's smaller size allows duplex or even quadruplex sgRNA-expressing cassettes to be delivered in an all-in-one AAV vector for high-titer packaging and robust gene transduction in cell cultures and animal models. The saCas9 with quadruplex sgRNAs targeting both 5'- and 3'-end LTRs and structural Gag and Pol regions induces versatile patterns of small indel mutations and large fragmental deletions and thus provides optimal efficiency of HIV-1 genome excision. The feasibility and efficacy of HIV-1 excision in animals via this highly promising AAV gene therapy will pave the way toward clinical trials in the near future.

## MATERIALS AND METHODS

### Bioinformatics Design of gRNAs

We utilized the Broad Institute gRNA designer tool for highly effective gRNA design (<https://www.broadinstitute.org/rnai/public/analysis-tools/sgRNA-design>) because this tool also provides the extended spacer sequence (NNNN[20nt]NGGNNN) for the spCas9 system. The saCas9 PAM sequence for optimal on-target cleaving is NNGRR(N). We selected only NGGRR(N) because it contains NGG PAM and thus the designed seed sequence for saCas9 system can also be used to the well-established spCas9 system. To test this, we picked three sgRNAs targeting the HIV-1 LTR promoter region, three sgRNAs targeting Gag, and one sgRNA targeting Pol. The oligonucleotides for these sgRNA targets are listed in Table 1.

**Table 1. Oligonucleotides for sgRNAs Targeting HIV-1 LTR, Gag, and Pol and PCR Primers**

Target Name	Direction	Sequence
<b>sgRNA-Targeting Oligonucleotides</b>		
LTR-1	T708: sense	caccGCAGAACTACACACCAGGGCC
	T709: antisense	aaacGGCCCTGGTGTGTAGTTCTGC
LTR-2	T710: sense	caccGTTACACCCTATGAGCCAGCA
	T711: antisense	aaacTGCTGGCTCATAGGGTGTAAAC
LTR-3	T712: sense	caccGTGTGGCCTGGGCGGGACTG
	T713: antisense	aaacCAGTCCCAGCCAGGCCACAC
GagB	T714: sense	caccGCCTTCCCACAAGGGAAGGCCA
	T715: antisense	aaacTGGCCTTCCCTTGTGGGAAGGC
GagC	T758: sense	caccGCGAGAGCGTCGGTATTAAGCG
	T759: antisense	aaacCGCTTAATACCGACGCTCTCGC
GagD	T760: sense	caccGGATAGATGTAAAAGACACCA
	T761: antisense	aaacTGGTGTCTTTTACATCTATCC
PolB	T716: sense	caccGCATGGGTACCAGCACAAA
	T717: antisense	aaacTTGTGTGCTGGTACCCATGC
<b>Primers for Conventional PCR</b>		
Gag	T458: antisense	CCCACTGTGTTTAGCATGGTATT
	T457: sense	AATGGTACATCAGGCCATATCAC
GagC	T758: sense	CACCGCGAGAGCGTCGGTATTAAGCG
GagD	T761: antisense	CACCTGGTGTCTTTTACATCTATCC
PolA	T689: sense	CACCGCAGGATATGTAAGTACAG
LTR-1	T709: antisense	AAACGGCCCTGGTGTGTAGTTCTGC
	T710: sense	CACCGTTACACCCTATGAGCCAGCA
LTR-E	T711: antisense	AAACTGCTGGCTCATAGGGTGTAAAC
	T361: sense	CACCGATCTGTGGATCTACCACACACA
LTR-F	T363: antisense	CACCGCTGCTTATATGCAGCATCTGAG
Cas-hU6	T351: sense	CGCCTCGAGGATCCGAGGGCCTATTCCCATGATCC
Tg26-3 vector	T645: antisense	TGGAATGCAGTGGCGCATCTTGGC
SaCas9	T955: sense	AACAGATTCAAGACCAGCGACTAC
	T956: antisense	TACCATTCTTTGATGTCCTTCCAG
GAPDH	T623: antisense	GCTAAGCAGTTGGTGGTGCAGGA
	#40: sense	TCACCATCTTCCAGGAGCGA
β-actin	sense	GGACTTCGAGCAAGAGATGG
	antisense	ACACTGTGTTGGCGTACAG
Gag-Nest	T946: antisense	ACCTGGCTGTTGTTTCTGTGTC
HIV-U5a	T425: antisense	AAACGAGTCACACAACAGACGGGC
LTR-1-Off-1	T991: sense	TCAGCCATGAGGAAGAACTTGGA
	T992: antisense	TCTCCAGAGTGTGCAAGGTCC
LTR-1-Off-2	T993: sense	TCACCTGGTGCCAGTGTCTGCGG
	T994: antisense	TATGAATGAGTTTGGCGTGTATG
LTR-1-Off-3	T995: sense	ATCGATGAGGCTCTCAGCATCACC
	T996: antisense	TGGTGAGGCCTCTGGGCCACTTGAG
LTR-3-Off-1	T1013: sense	AGCCACACTCTGGCACTGAGACAAG
	T1014: antisense	AGTAAGCATAGGTATGGAGAGGC

(Continued on next page)

**Table 1 Continued**

Target Name	Direction	Sequence
LTR-3-Off-3	T1017: sense	ACAGCCACATGCAGGAGGTGACCAC
	T1018: antisense	ACATGTGCCTTGGCTTGTATGTGG
GagD-Off-1	T1019: sense	TTGAAGCAGAGTTAAGGAATCTTGG
	T1020: antisense	TGCCATGTTCTTCTGTAATCATAG
GagD-Off-2	T1021: sense	TCCTATGTAGTCTTGGCTGTCTG
	T1022: antisense	ACCATGGCATCTAGCTGTGCTGAC
Primers for Real-Time PCR		
Gag	T760: sense	CACCGGATAGATGTAAAAGACACCA
	T946: antisense	ACCTGGCTGTTGTTTCTGTGTC
Env	T876: sense	CCGAAGGAATAGAAGAAGAAG
	T691: antisense	CACCGAGAGTAAGTCTCTCAAGCGG
Tat	T1002: sense	TGGAAGCATCCAGGAAGTCAGCC
	T1003: antisense	TTCTTCTTCTATTCTTCGGGCC
Mouse Ppia	T979: sense	GCCCAGTATGCTTGGGTATC
	T980: antisense	TGCTGACTCCCAGAACAGA
Human $\beta$ 2M	sense	TGCTGTCTCCATGTTGATGATCT
	antisense	TCTCTGCTCCCACCTCTAAGT
5'-LTR-1 plus GagD uncut	T872	GGACTCGGCTTGCTGAAG
	T759	aaacCGCTTAATACCGACGCTCTCGC
5'-LTR-1 plus GagD cut	T361	caccGATCTGTGGATCTACCACACACA
	T946	ACCTGGCTGTTGTTTCTGTGTC
5'-LTR-1 plus GagD internal	T457	AATGGTACATCAGGCCATATCAC
	T458	CCCACTGTGTTAGCATGGTATT
GagD plus 3'-LTR-1 uncut	T873	ATCTCTGCTGTCCCTGTAA
	T874	AATCCCCAAAGTCAAGGAGTAA
GagD plus 3'-LTR-1 cut	T758	caccGCGAGAGCGTTCGGTATTAAGCG
	T535	AAACAAGGTCAGTGGATATCTGATC
GagD plus 3'-LTR-1 internal	T872	GGACTCGGCTTGCTGAAG
	T759	aaacCGCTTAATACCGACGCTCTCGC
GagD plus 3'-LTR-3 uncut	T873	ATCTCTGCTGTCCCTGTAA
	T874	AATCCCCAAAGTCAAGGAGTAA
GagD plus 3'-LTR-3 cut	T758	caccGCGAGAGCGTTCGGTATTAAGCG
	T363	caccGCTGCTTATATGCAGCATCTGAG
GagD plus 3'-LTR-3 internal	T872	GGACTCGGCTTGCTGAAG
	T759	aaacCGCTTAATACCGACGCTCTCGC

### Plasmids and Cloning of Multiplex gRNA Expression AAV Vectors

The pNL4-3-EcoHIV-eLuc and pHIV<sub>NL-BaL</sub>-eLuc reporter vectors were constructed as previously described.<sup>16,48,50</sup> A pair of oligonucleotides for each targeting site with 5'-CACC and 3'-AAAC overhang (Table 1) was obtained from AlphaDNA. The target seed sequence was cloned via *BsaI* sites into pX601-AAV-CMV::NLS-saCas9-NLS-3xHA-bGHpA;U6::BsaI-sgRNA (a gift from Feng Zhang via Addgene) (61591; Addgene).<sup>2</sup> The pX601 AAV was digested with *BsaI*, treated with antarctic phosphatase, and purified with a Quick

nucleotide removal kit (QIAGEN). An equal amount of complementary oligonucleotide was mixed in T4 polynucleotide kinase (PNK) buffer for annealing. These annealed seed pairs were phosphorylated with T4 PNK and ligated into the *BsaI*-digested AAV using T7 DNA ligase. The ligation mixture was transformed into *Stab13* competent cells. Positive clones were identified by PCR screening and verified by Sanger sequencing. For multiplex sgRNA cloning, we established two approaches: double digestion traditional cloning and In-Fusion seamless PCR cloning. In the double digestion strategy, the target AAV vector was first digested with *KpnI* and blunted, and then

digested with *EcoRI*, while the transfer insert harboring the expected sgRNA expression cassette was first digested with *NotI* and blunted and then digested with *EcoRI*. The insert and the vector were purified with the QIAquick Gel Extraction Kit (QIAGEN) followed by standard overhang/blunt end cloning. The positive duplex sgRNA-expressing AAV clones were identified by double digestion with *NotI* and *BamHI* or *EcoRI*. In the In-Fusion PCR cloning strategy, the target AAV vector was linearized with *EcoRI* and *KpnI*. The insertion fragment was produced via PCR using the primer pair T795/T796 (Table 1) with a mutation of the 3'-end *KpnI* site (for further addition of new sgRNAs) and the transfer sgRNA AAV vector as the template. After purification, the linearized vector and the insertion PCR product were ligated using an In-Fusion HD Cloning Kit (Clontech). The positive duplex sgRNA-expressing AAV clones were identified by double digestion with *NotI* and *BamHI* or *EcoRI*. Using a similar proof of principle for both cloning strategies, the duplex sgRNAs/saCas9 vector can serve as a target vector for inserting additional sgRNA expression cassettes. One necessary precaution is to ensure the absence of these digestion sites (*KpnI*, *NotI*, *EcoRI*) in the seed sequence of the selected sgRNAs.

#### Cell Culture, Transfection, and Firefly-Luciferase Reporter Assay

HEK293T cells were cultured in high-glucose DMEM containing 10% FBS and antibiotics (100 U/mL penicillin and 100 µg/mL streptomycin) in a humidified atmosphere with 5% CO<sub>2</sub> at 37°C. For the luciferase reporter assay, cells were cultured in a 96-well plate (3 × 10<sup>4</sup> cells/well) and transfected with the indicated plasmids using the standard calcium phosphate precipitation protocol. After 48 hr, the cells were lysed and assayed with the ONE-Glo luciferase assay system (Promega) in a 2104 EnVision Multilabel Reader (PerkinElmer).

#### Terra PCR Direct Genotyping and Nested PCR

To perform high-throughput genotyping using PCR, cells were seeded in a 96-well plate and transfected with indicated vectors. After 48 hr, the media were removed and the cells were treated with 45 µL 50 mM NaOH per well and incubated for 10 min at 95°C. After neutralization with 5 µL 1 M Tris-HCl (pH 8.0), 0.5 µL DNA extract was used in a 10-µL PCR reaction using Terra PCR Direct Polymerase Mix (Clontech) and the indicated primers. To genotype the excised HIV proviral DNA from the isolated tissues and organs from EcoHIV-eLuc inoculated mice, each tissue/organ was triturated by each individual pair of scissors to avoid cross-contamination and was then digested with proteinase K in a lysis buffer consisting of 1% SDS, 10 mM Tris (pH 8.0), 5 mM EDTA, and 100 mM NaCl in a 55°C water bath. Genomic DNA was then extracted from the tissue lysates using conventional phenol/chloroform extraction methods. Tissue samples were broken up mechanically with a pestle and mortar and were then processed with the Nucleospin tissue kit and Nucleospin RNA/Protein kit (Clontech) according to the manufacturer's protocols to extract genomic DNA, RNA, and protein from specific Tg26 mouse tissues. To perform PCR genotyping, 100-ng DNA samples were denatured at 98°C for 3 min, followed by two steps of conventional PCR for 35 cycles with an annealing/extension step at 68°C

for 1 min/kb. For nested PCR, the first round of reaction was run for 22–25 cycles with the indicated primers and the second round of PCR was run for 35 cycles with the nested PCR primers.

#### RT-qPCR

Total RNAs from the indicated organs/tissues were extracted with an RNeasy Mini Kit (QIAGEN) per the manufacturer's instructions. The potentially residual genomic DNA was removed through on-column DNase digestion with an RNase-Free DNase Set (QIAGEN). One µg of RNA for each sample was reverse transcribed into cDNAs using random hexanucleotide primers with a High-Capacity cDNA Reverse Transcription Kit (Invitrogen). qPCR analyses of cDNA or HIV genomic DNA were carried out in a LightCycler480 (Roche) using a SYBR Green PCR Master Mix Kit (Applied Biosystems). The primer pairs for saCas9, Gag, Env, and Tat were designed as shown in Table 1. The primers for human β2 microglobulin and mouse Ppia housekeeping genes were obtained from RealTimePrimers. The primers for HIV excision quantification were designed as shown in Figure S8. Each sample was tested in triplicate. Cycle threshold (Ct) values were obtained graphically for the target genes and housekeeping genes. Differences in Ct values between the housekeeping gene and target genes were represented as ΔCt values. ΔΔCt values were obtained by subtracting the ΔCt values of control samples from those of experimental samples. Relative fold or percentage change in gene expression or HIV DNA excision was calculated as 2<sup>-ΔΔCt</sup>. In some cases, the amplification curve and the melting peak curve were used for the comparative analysis.

#### Viral Packaging and Purification

Small- and large-scale preparation of AAV-DJ and AAV-DJ/8 was performed via an AAV production service (<https://vigenebio.com/>) following a previously published protocol.<sup>84</sup> Briefly, HEK293T cells were co-transfected with three vectors and the viral particles were harvested and purified by iodixanol gradient ultracentrifugation. Viruses were concentrated and formulated in PBS. Virus titers were determined by the viral genome copy number in a 1-mL sample (GC/mL) using real-time PCR with a linearized genome plasmid as a standard.

For packaging replication competent EcoHIV-eLuc and HIV<sub>NL-BaL</sub>-eLuc, plasmid DNA encoding a full-length molecular clone of either EcoHIV or HIV-1 carrying the BLI reporter (Figure S12) was transfected into HEK293T cells using Lipofectamine 3000 (Invitrogen). At 24 hr post-transfection, media containing transfection reagent and DNA were aspirated and cells were washed twice with PBS. Fresh media were added. At 48 and 60 hr post-transfection, supernatants were collected and filtered through a 0.45-µm filter. The lentiviral supernatant was concentrated using the Lenti-X Concentrator (Clontech) following the manufacturer's instructions or using 20% sucrose density centrifugation at 20,000g, 4°C, for 4 hr.<sup>85</sup> For EcoHIV-eLuc, the quantity of p24 was determined by ELISA (XpressBio) according to the manufacturer's protocol. The titer of HIV<sub>NL-BaL</sub>-eLuc was determined on GHOST(3) X4/R5 (requested from Drs. Vineet N. Kewal Ramani and Dan R. Littman via the

NIH AIDS Reagent Program) for GFP expression analyzed by fluorescence-activated cell sorting (FACS) analysis.

### **Viral Inoculation of Humanized BLT Mice**

The University of Pittsburgh or Temple University Institutional Animal Care and Use Committee (IACUC) approved all animal care and procedures. Humanized BLT mice based on an NOD scid gamma strain transplanted with human fetal liver, thymus, and CD34<sup>+</sup> cells isolated from the syngeneic liver were purchased from the Jackson Laboratory. For infection, mice were anesthetized via isoflurane inhalation (2%–2.5% with a 2-L/min oxygen flow rate). Intravaginal inoculations (total  $1 \times 10^6$  tissue culture infectious units [TCIU] of HIV<sub>NL-BaL-eLuc</sub> per mouse) were performed by slowly pipetting 20  $\mu$ L viral supernatant into the vaginal canal with a mid-size pipette tip. Intraperitoneal inoculations (total  $1 \times 10^5$  TCIU of HIV<sub>NL-BaL-eLuc</sub> per mouse) were performed by injecting 100  $\mu$ L indicated viral titer into the intraperitoneal cavity with a 29-gauge needle and insulin syringe. HIV infection in BLT mice was visualized using in vivo BLI to reveal longitudinal HIV dissemination. The chosen HIV-infected BLT mice were previously determined to show possible latency without detectable HIV-reporter activity in vivo for at least 70 days or longer prior to AAV-DJ/8/saCas9-sgRNA administration.

### **In Vivo AAV Injection**

For the sgRNAs/saCas9 gene delivery efficiency and functional assay in Tg26 transgenic mice, 100  $\mu$ L unpurified AAV-DJ serotype or 5  $\mu$ L purified AAV-DJ/8 serotype diluted in  $1 \times$  DMEM without serum in a total volume of 100  $\mu$ L was injected into animals via the tail vein. For mice injected with AAV-DJ, group 1 was euthanized and group 2 received an additional injection 1 week after the first injection. Group 2 was euthanized 1 week after the second injection. Control mice were injected with AAV virus carrying an empty vector. For mice injected with AAV-DJ/8, the interval time between group 1 and group 2 was 2 weeks.

For in vivo injection of AAV-DJ/8 into NCr nude mice inoculated with EcoHIV-eLuc, a total of 10  $\mu$ L purified AAV-DJ/8 containing saCas9/sgRNA ( $3.07 \times 10^{14}$  GC/mL) was first diluted in 90  $\mu$ L PBS for retro-orbital injection into the blood sinus of the right eye of each mouse right after a retro-orbital injection of a total of 100  $\mu$ L EcoHIV-eLuc supernatant containing a total of 250 ng p24 determined by ELISA (ZeptoMetrix) at the same injection site.

The quadruplex sgRNAs/saCas9 AAV-DJ/8 delivery in BLT mice was performed via the intravaginal or intravenous route or via both routes, which is described in the text. Intravaginal inoculation was performed similarly to the aforementioned HIV inoculation, with a total of 20  $\mu$ L PBS containing a total of  $6.14 \times 10^{12}$  GC of AAV-DJ/8/saCas9-sgRNA per BLT mouse. Intravenous inoculation was administered using an insulin syringe with a 29-gauge needle via the blood sinus of the right eye (retro-orbital injection) with 100  $\mu$ L PBS containing total  $6.14 \times 10^{12}$  GC of AAV-DJ/8/saCas9-sgRNA per BLT mouse.

### **Bioluminescence Imaging**

Imaging commenced at the indicated time points after viral inoculation. During all imaging, mice were anesthetized via isoflurane inhalation (2%–2.5% with a 2-L/min oxygen flow rate). D-luciferin potassium salt (Gold BioTechnology) was dissolved in sterile PBS and injected intraperitoneally at a dose of 150 mg/kg body weight. Bioluminescence images were acquired for 2 min (open light filter, binning  $8 \times 8$ , f/stop 1, 100-mm field of view) using an IVIS Lumina XR small animal optical imaging system (PerkinElmer).

### **Image Analysis**

All image analysis was performed using Living Image 4.3.1 software. Regions of interest (ROIs) were drawn around the measured area or around the entire animal for comparison and average radiance values (in p/s/cm<sup>2</sup>/str) were used for all image evaluation. For display, images were windowed so that all light output above background levels adjacent to the mouse was seen. A maximum radiance value of 110,000 p/s/cm<sup>2</sup>/str was selected for the high end of the imaging scale and the background cutoff was set at 6,000 p/s/cm<sup>2</sup>/str, which was the average light emission of the mice imaged at two different time points prior to viral inoculation.

### **Genomic DNA, RNA, and Protein Extraction**

Tissue samples of selected organs were broken up mechanically with a pestle and mortar, then processed with the Nucleospin tissue kit and the Nucleospin RNA/protein kit (Clontech) following the user manuals to extract genomic DNA, RNA, and protein. Extracted tissues were minced with sterilized and contaminant-free scissors and resulting tissue was added to a lysis buffer (2.5 mM Tris base, 5 mM EDTA, and 5 mM NaCl, pH 8.0) containing proteinase K and 1% SDS and incubated at 55°C overnight. Following incubation, the resulting mixture was extracted with one volume of phenol/CHCl<sub>3</sub>/isoamyl alcohol (25:24:1) via inversion for 10 min. The mixture was centrifuged at 12,000 rpm for 10 min, and the aqueous layer was removed and subjected to extraction once more with one volume of CHCl<sub>3</sub>/isoamyl alcohol (24:1) and separated via centrifugation as previously described. To precipitate the extracted DNA, one volume of isopropanol was added to the removed aqueous layer and the mixture was inverted for 15 min. The DNA was pelleted via centrifugation at 12,000 rpm for 15 min. The DNA pellet was then washed with 75% ethanol and air dried. Once nearly dry, TRIS-EDTA (TE) buffer (pH 8.0) was added to the pellet and was resolved at 55°C overnight.

### **TA Cloning and Sanger Sequencing**

The bands of interest in agarose gels were excised and gel purified for cloning into the pCRII T-A vector (Invitrogen), and the nucleotide sequence of individual clones was determined by sequencing at GENEWIZ using universal T7 and/or SP6 primers.

### **Immunocytochemistry and Immunohistochemistry**

Cells were fixed with 4% paraformaldehyde followed by standard immunocytochemistry with a monoclonal anti-HA antibody (1:200, catalog no. 66006-1-Ig; Proteintech). The ratio of saCas9-HA-positive



cells to Hoechst-positive nuclei was quantified in six random fields per well for three wells. For immunohistochemistry, snap-frozen tissues/organs underwent cryostatic sectioning at 10  $\mu$ m and were fixed with 4% paraformaldehyde for 10 min. After washing, permeation with 0.5% Triton X-100, and blocking with 10% normal donkey serum, the sections were incubated with rabbit anti-HA polyclonal antibody (1:100, catalog no. 51064-2-AP; Proteintech) in PBS with 0.1% Triton X-100 overnight at 4°C. After washing three times each for 10 min, the sections were incubated with corresponding Alexa Fluor-conjugated donkey secondary antibody (1:500; Invitrogen) and phalloidin (100 nM, catalog no. PHDR1; Cytoskeleton, Inc.) for 1 hr at room temperature. Hoechst 33258 was used for nuclear counterstaining.

### Statistical Analysis

The quantitative data represent the mean  $\pm$  SD from two to four independent experiments and were evaluated by the Student's *t* test. *p* values that were < 0.05 or 0.01 were considered statistically significant. For BLI data in the EcoHIV infection mouse model, the Student's *t* test and linear mixed-effects models were used to compare total photon flux change over time between saCas9 plus EcoHIV versus EcoHIV only, which was measured by BLI from both the dorsal and ventral sides, respectively.

### SUPPLEMENTAL INFORMATION

Supplemental Information includes twelve figures and can be found with this article online at <http://dx.doi.org/10.1016/j.ymthe.2017.03.012>.

### AUTHOR CONTRIBUTIONS

C.Y., T.Z., Y.Z., X.X., and F.L. performed the vector cloning, sequencing, cell cultures, PCR, and immunostaining. F.L., R.P., S.D., and Xu.Q. did animal breeding, genotyping, and tail-vein injection. W.H., K.K., W.-B.Y., W.X., and X.M. conceived the experimental design and helped with data analysis/interpretation and preparation of the manuscript. W.-B.Y. and Xi.Q. performed in vivo studies and longitudinal live imaging of EcoHIV<sub>NL4.3</sub>-eLuc and HIV<sub>NL<sub>4.3</sub>BAL</sub>-eLuc infection in NCr mice and humanized BLT mice for AAV/saCas9 treatment. C.Y., T.Z., W.-B.Y., and W.H. prepared the figures. H.Z. performed the statistical analysis. W.H., W.-B.Y., C.Y., and T.Z. prepared the manuscript. The final manuscript was reviewed and approved by all authors.

### CONFLICTS OF INTEREST

W.H., K.K., and Y.Z. are named inventors on patents that cover the viral gene editing technology that is the subject of this manuscript. In addition to the foregoing interests, K.K. is a co-founder and scientific advisor and holds equity in Excision Biotherapeutics, a biotech start-up that has licensed the viral gene editing technology from Temple University for commercial development and clinical trials. W.H. is a scientific advisor for Excision Biotherapeutics. The authors declare that this work was produced solely by the authors and that no other individuals or entities influenced any aspects of the work including, but not limited to, the study conception and design;

data acquisition, analysis and interpretation; and writing of the manuscript.

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YMTHE, Volume 25

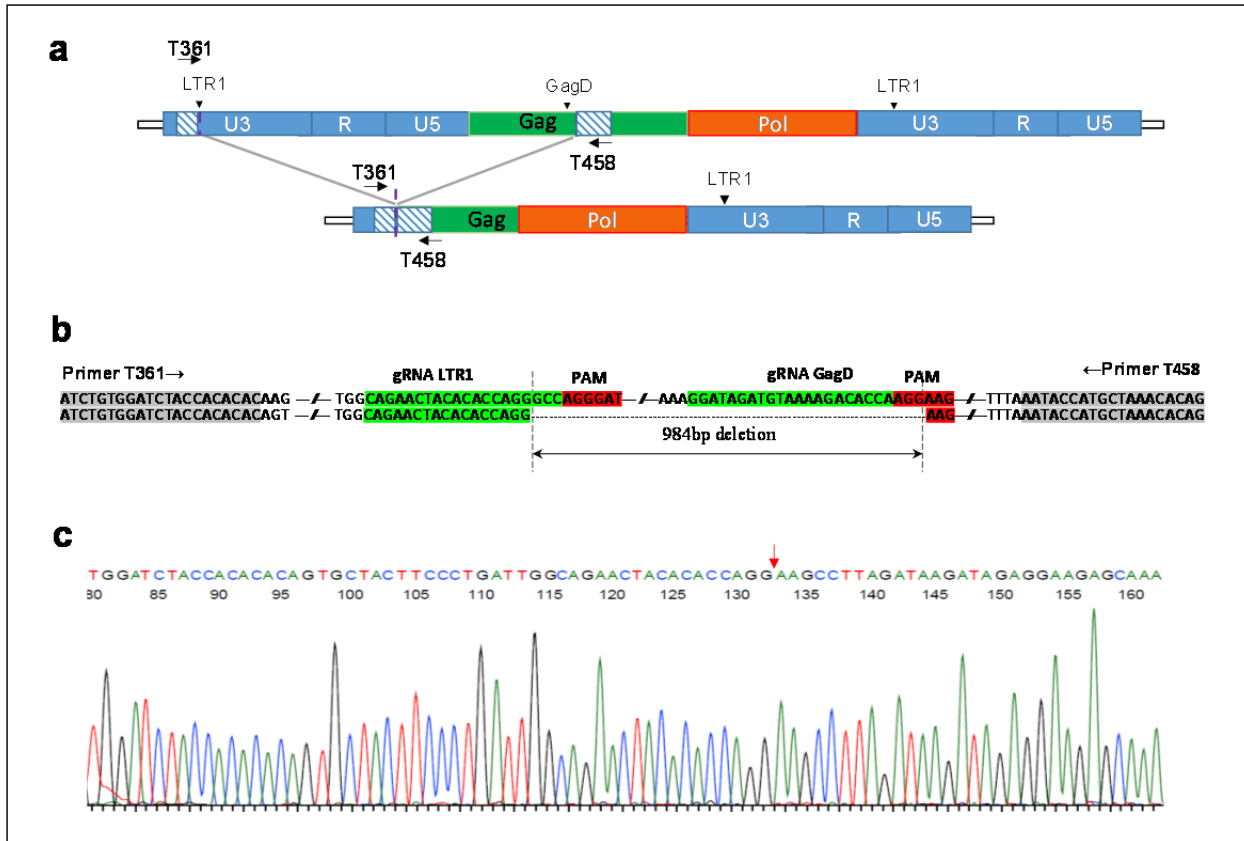
## **Supplemental Information**

### **In Vivo Excision of HIV-1 Provirus**

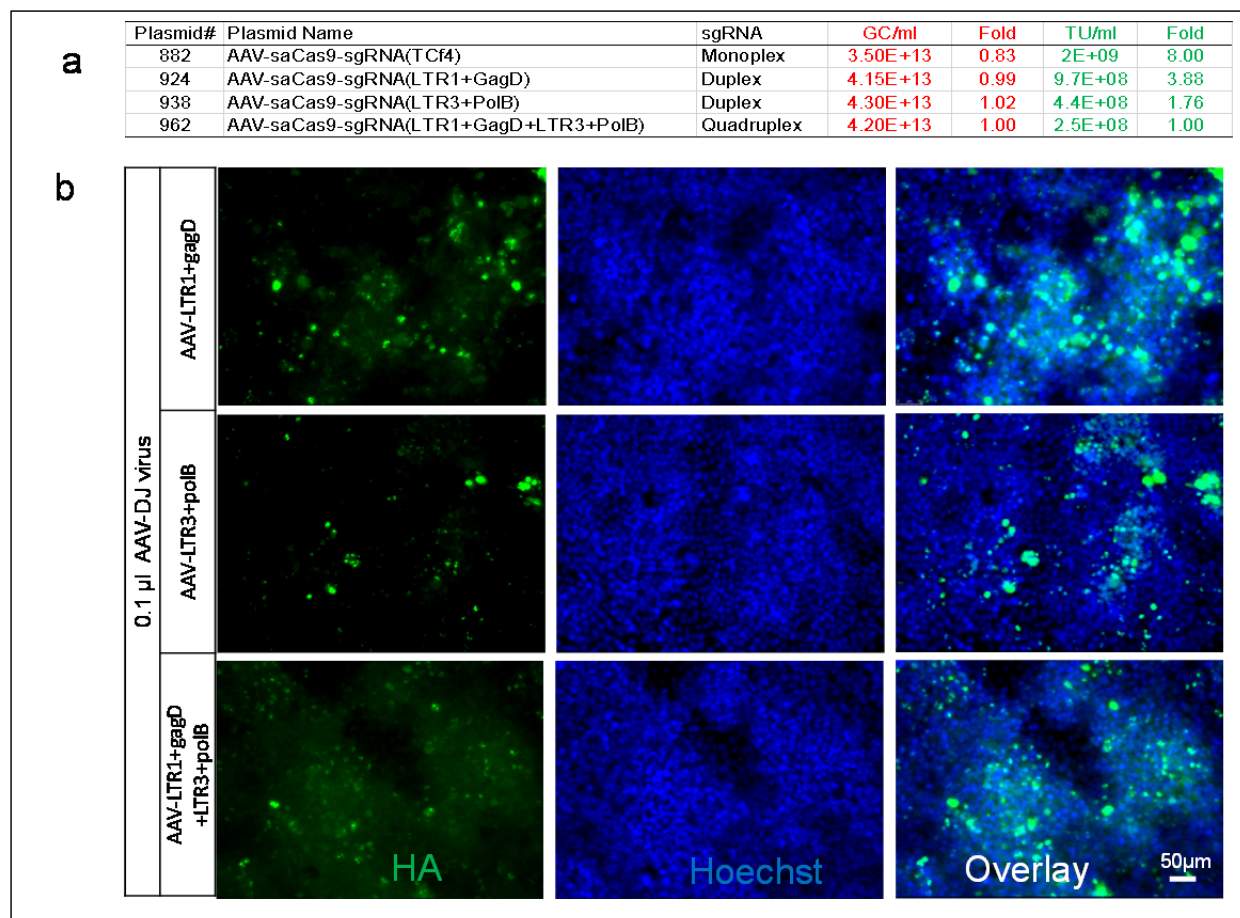
**by saCas9 and Multiplex Single-Guide**

### **RNAs in Animal Models**

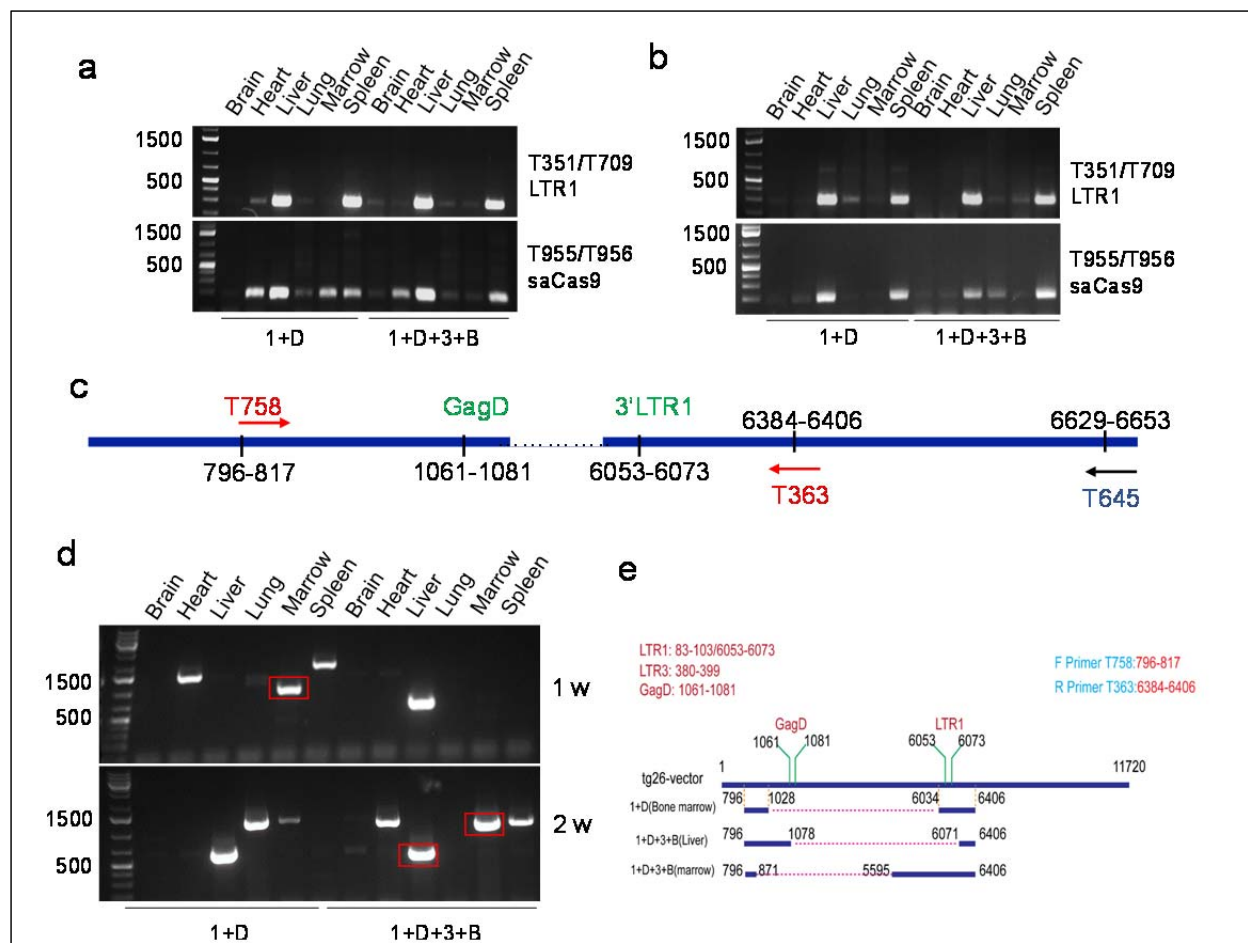
**Chaoran Yin, Ting Zhang, Xiyong Qu, Yonggang Zhang, Raj Putatunda, Xiao Xiao, Fang Li, Weidong Xiao, Huaqing Zhao, Shen Dai, Xuebin Qin, Xianming Mo, Won-Bin Young, Kamel Khalili, and Wenhui Hu**



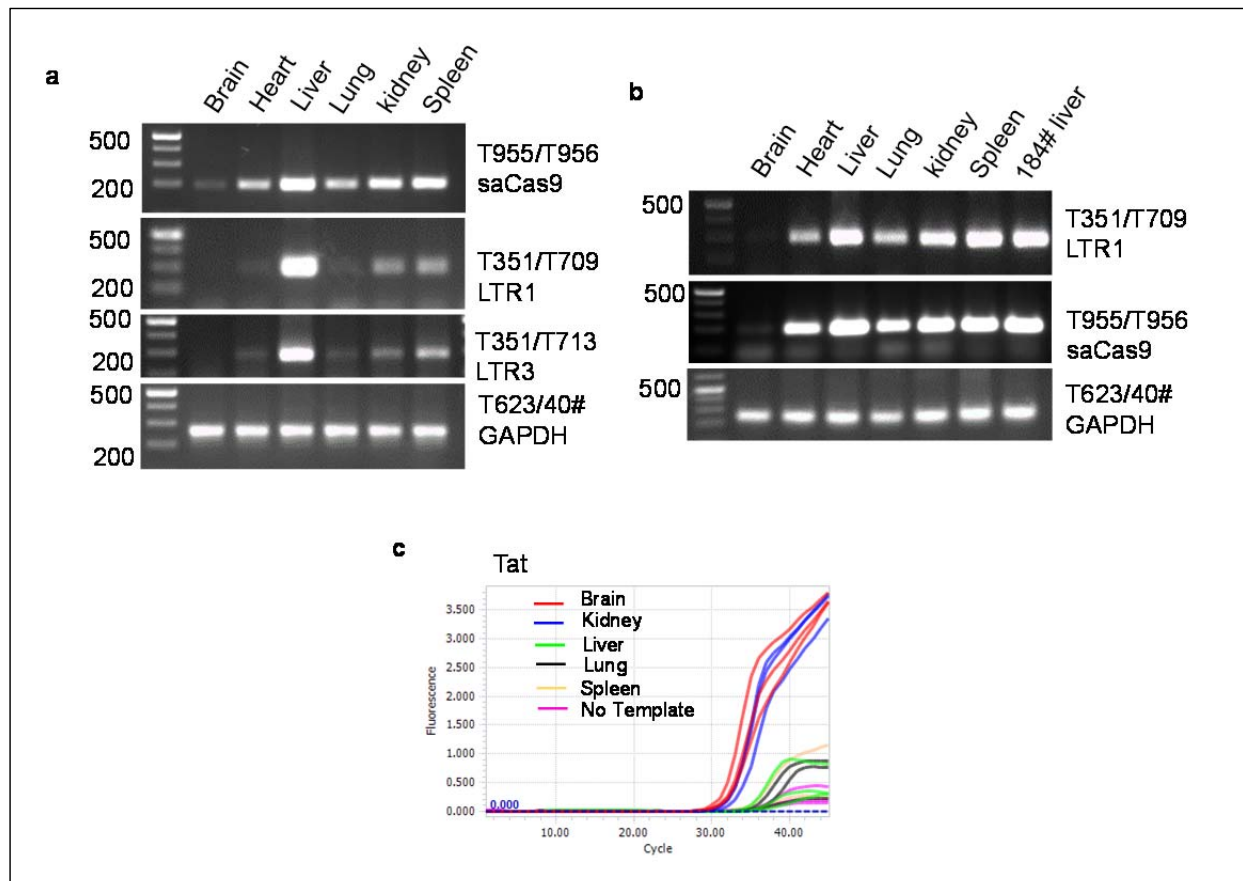
**Fig. S1. Validation of the expected fragmental deletion of EcoHIV-eLuc genome using TA-cloning and Sanger sequencing analysis.** (a) Schematics of the sgRNA target sites and PCR primer locations. (b) Comparative analysis of 984 nucleotide deletion after precise cleavage at the third nucleotide from PAM (red text) in LTR1 and GagD. (c) Representative Sanger sequence tracing showing the editing/re-ligation site between LTR1 and GagD.



**Fig. S2. Functional titer of AAV-DJ carrying multiplex sgRNAs and saCas9 in HEK293T cells. (a)** Summary of genomic and functional titer for monoplex (plasmid 822), duplex (plasmid 924 and 938) and quadraplex (plasmid 962) sgRNAs/saCas9 AAV-DJ. **(b)** Representative images of immunofluorescent staining with anti-HA antibody at 2 days after 0.1  $\mu$ l AAV-DJ virus infection in HEK293T cells plating on 96-well plate. The positive cells were counted in each of three wells and the functional titer was calculated as transduction units (TU) per ml. The genomic titer was determined by the viral genome copy number in 1 ml virus sample (GC/ml) by quantitative PCR analysis using the copy number of standard samples.

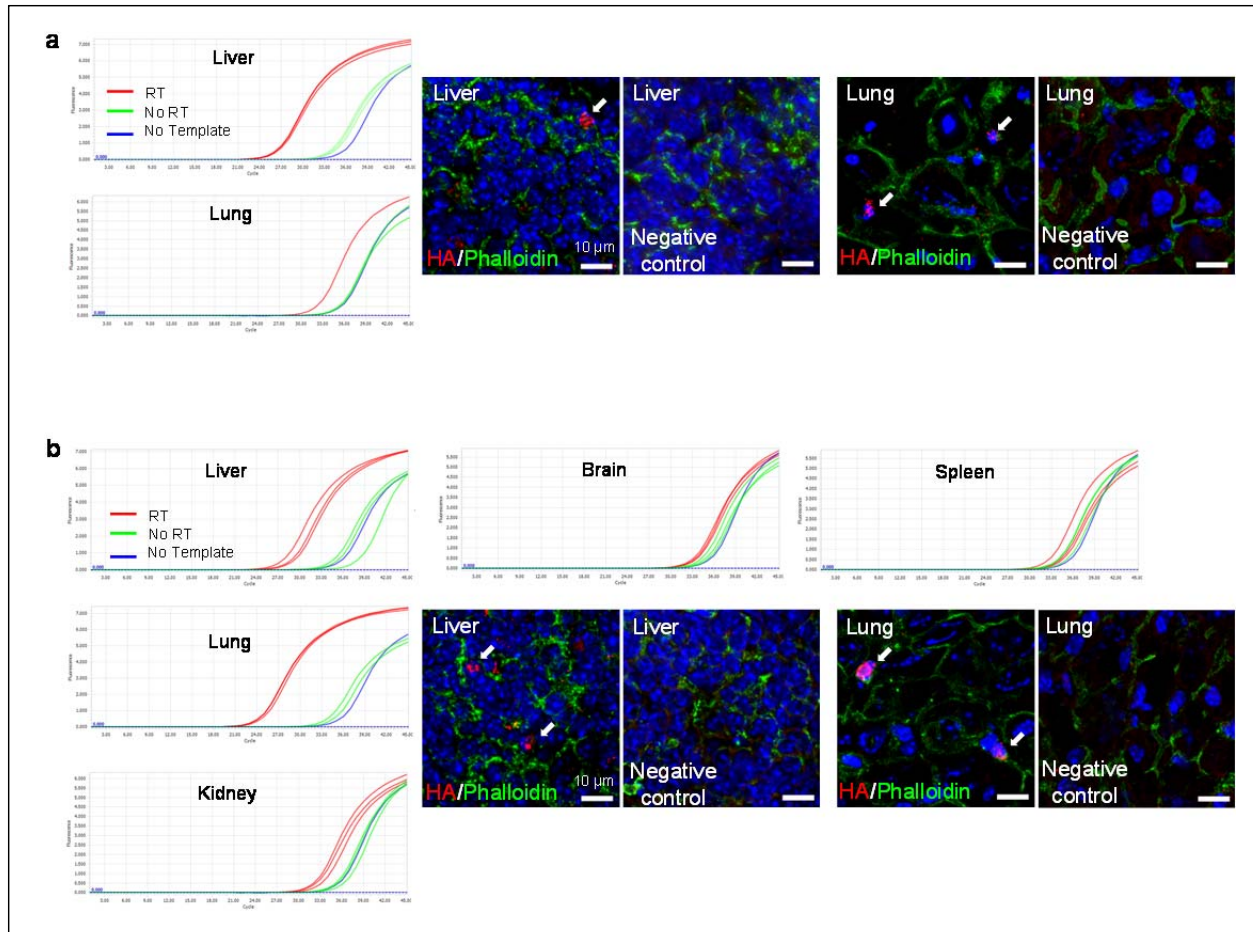


**Fig. S3. Similar efficiency of transgene expression and HIV-1 genome excision in different organs/tissues of Tg26 transgenic mice infected with duplex and quadruplex sgRNAs/saCas9 AAV-DJ.** (a, b) High efficiency of AAV delivery for saCas9 and representative sgRNA LTR-1 expression cassette in the liver and spleen of Tg26 mice intravenously injected with AAV-DJ virus (total  $4.15\text{-}4.20 \times 10^{12}$  GC in  $100 \mu\text{l}$  PBS/mouse) via tail vein at 1 week after infection (a) and one additional injection at 1 week after the first injection. Tissue samples were collected at 1 (a) and 2 weeks (b) after first injection. (c, d) Nested PCR analysis identified fragmental deletion in liver, heart, bone marrow and spleen. The representative deletion fragments (red box) were verified with TA-cloning and Sanger sequencing (e).

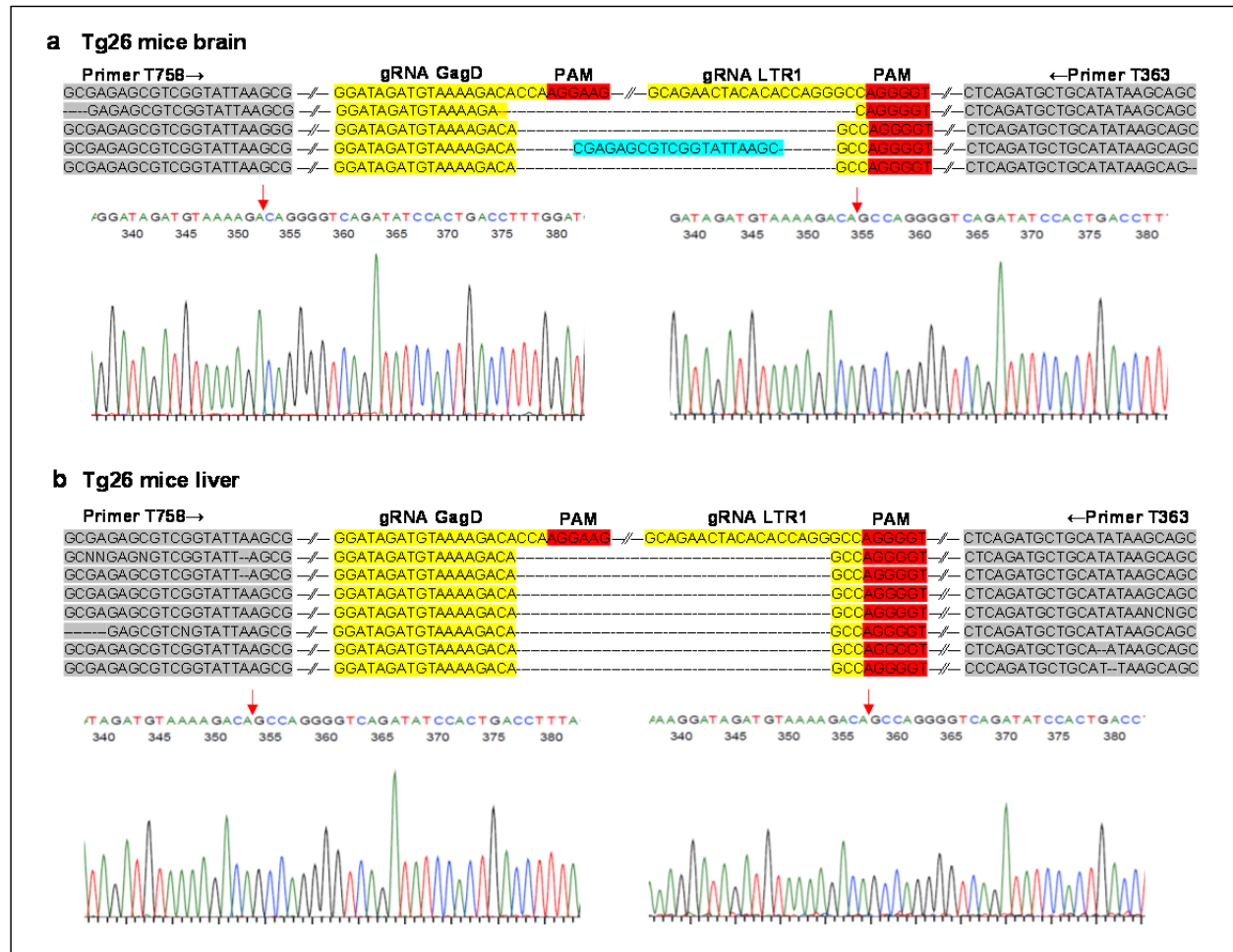


**Fig. S4. Quadruplex sgRNAs/saCas9 AAV-DJ/8 induced efficient transgene transduction (a, b) and RT-qPCR analysis validated Tat transcription in various organs/tissues of HIV Tg26 transgenic mice.** Tg26 mice were injected via the tail vein with purified AAV-DJ/8 virus ( $1.535 \times 10^{12}$  GC/mouse) for once (a) or twice (b) separated by two weeks. Two weeks after last injection, mice were euthanized and their tissues were collected for genomic DNA extraction and PCR genotyping for the cDNA encoding sgRNAs and saCas9 as indicated. (c) Representative amplification curves showing relative Ct values for Tat transcription to validate efficiency of RT-qPCR assay.

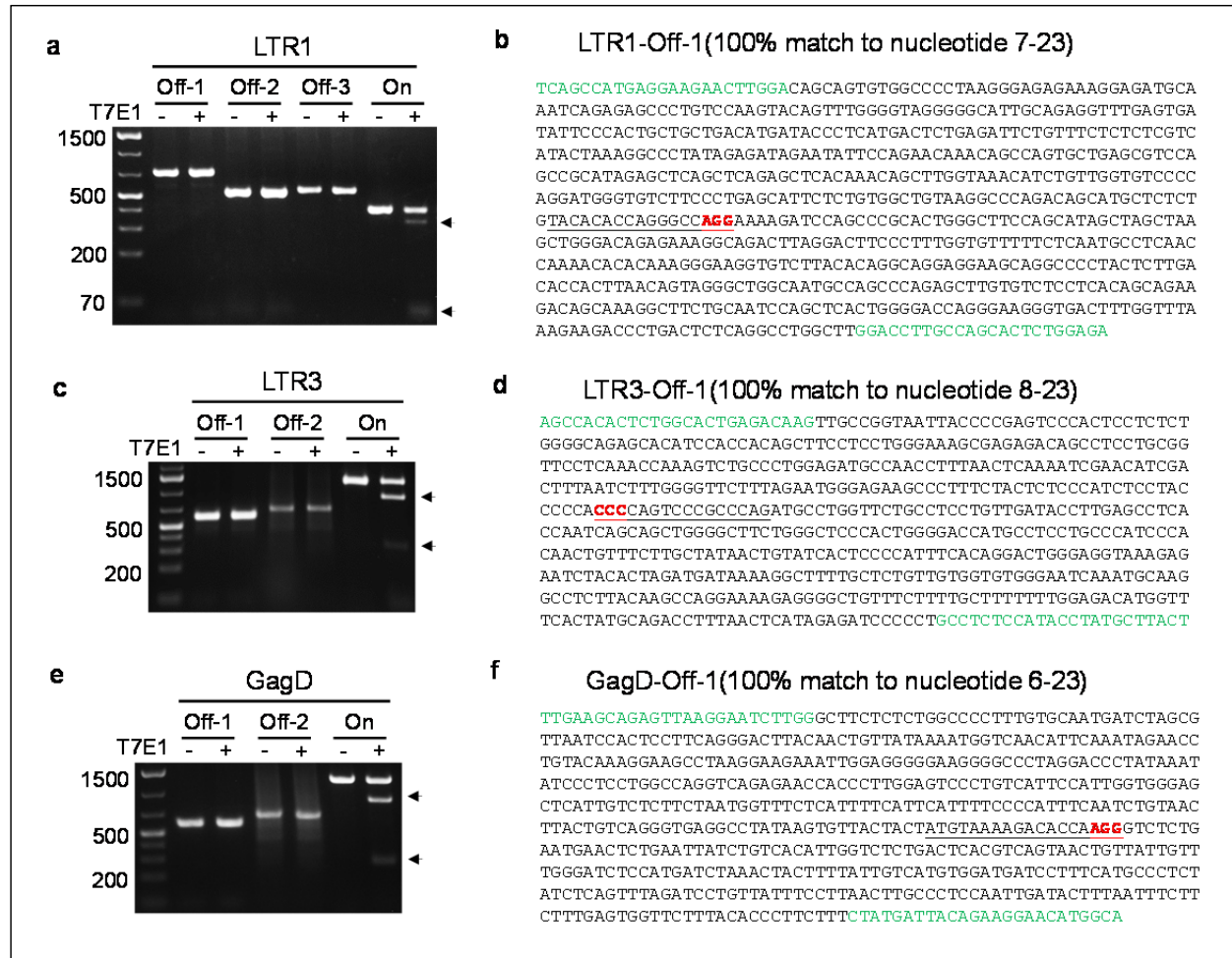




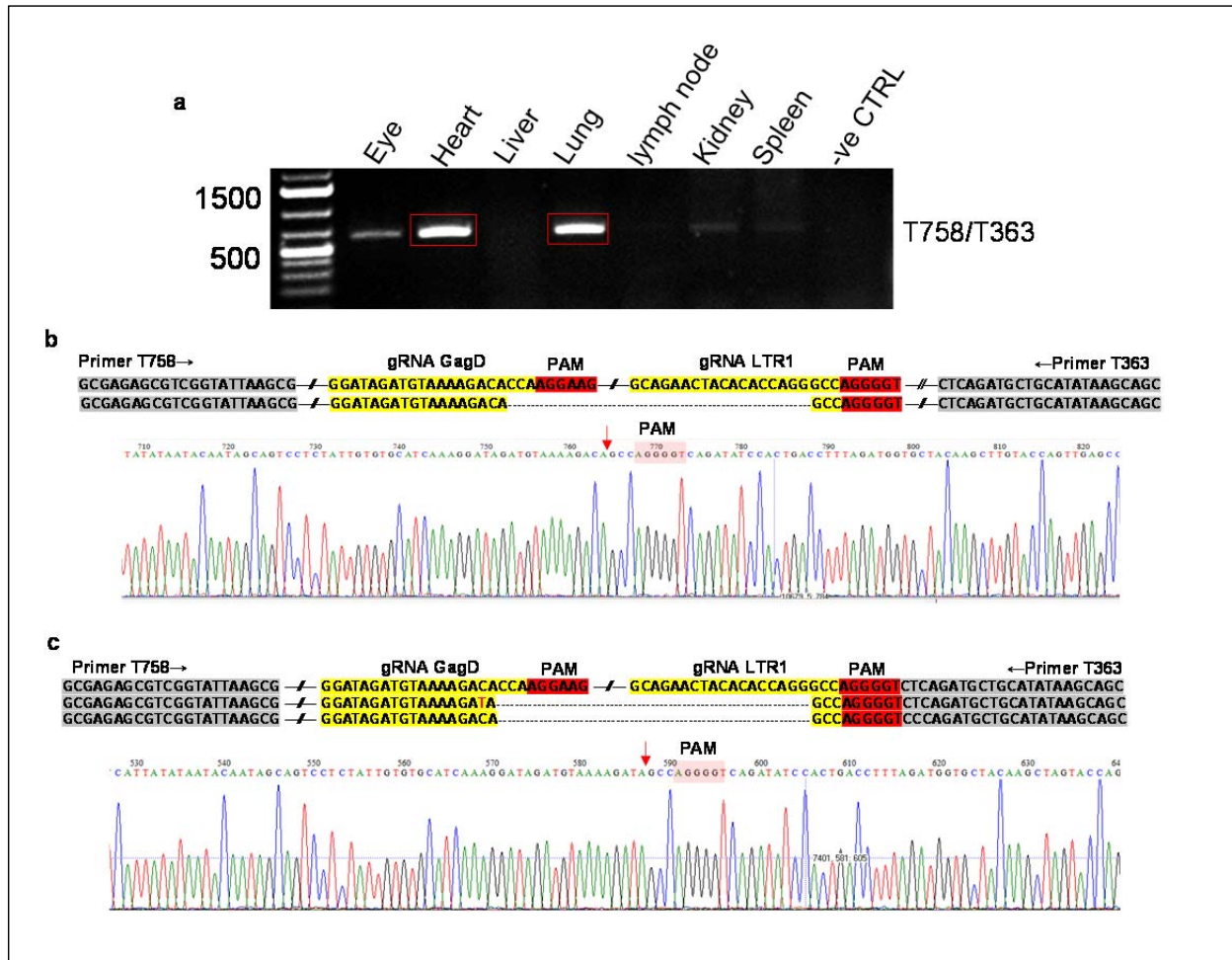
**Fig. S5. The expression of saCas9 protein in the organs/tissues of Tg26 mice at 2 (a) and 4 (b) weeks after intravenous injection of quadruplex sgRNAs/saCas9 AAV-DJ/8.** Total RNAs of the indicated organs/tissues were extracted with an RNeasy Mini kit and the residual genomic DNA was removed through an in-column DNase digestion with an RNase-Free DNase Set. Real-time PCR analysis of the cDNA reversely transcribed (RT) from total RNA was used to measure the expression of the transgene saCas9, which is mainly localized to the liver and lung. No RT was used as a negative control for genomic DNA contamination. No template was used as PCR control. Representative micrographs of the tissue frozen sections immunostained with rabbit anti-HA tag antibody (*red*) for detecting the saCas9 protein and Phalloidin (*green*) for F-actin in the liver and lung. Negative control only used secondary antibody. White arrows pointed the presence of saCas9-like immunoreactivity in nuclei. Scale bars=10 μm.



**Fig. S6. Validation of the GagD to 3'-LTR1 fragmental deletion of HIV-1 genome in the brain (a) and liver (b) of Tg26 transgenic mice at 2 weeks after intravenous administration of the quadruplex sgRNAs/saCas9 AAV-DJ8.** The predicted deletion of the 4992 bp fragment after cleavage at the third nucleotide from the PAM (highlighted red) was observed in most of the bacterial clones of PCR product in TA-cloning with a small insertion or deletion in a few clones. Representative Sanger sequence tracing was presented to show the cleaving/re-ligation site (red arrows) between GagD and 3'-LTR1.

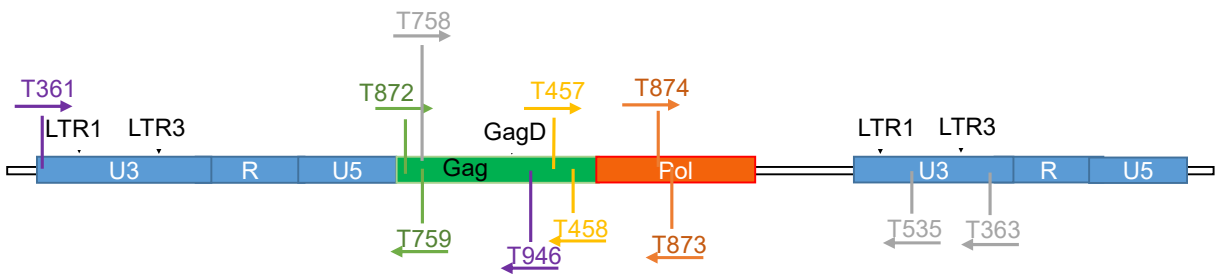


**Figure S7.** T7E1 mismatch cleavage assay showing no off-target effects in the liver tissue of Tg26 mice receiving a single intravenous injection of quadruplex sgRNAs/saCas9 AAV-DJ8. Representatives of the most potential off-target sites predicted for LTR1 (a,b), LTR3 (c,d) and GagD (e,f) were examined by T7E1 mismatch cleavage assay using the PCR product amplified from the genomic DNA extracted from the liver tissue of Tg26 mice at 4 weeks after sgRNAs/saCas9 treatment. The on-target PCR products were used as positive controls. Arrows indicated the InDel mutation patterns for the positive control PCR products generated with primer pairs T361/T363 for LTR1 (a), T361/T458 for LTR3 (c) and GagD (e). (b, d, f) A representative sequence of PCR product encompassing the predicted potential off-target sites in mouse genomic DNA. The PAM sequence is highlighted in red and the PCR primers are highlighted in green.

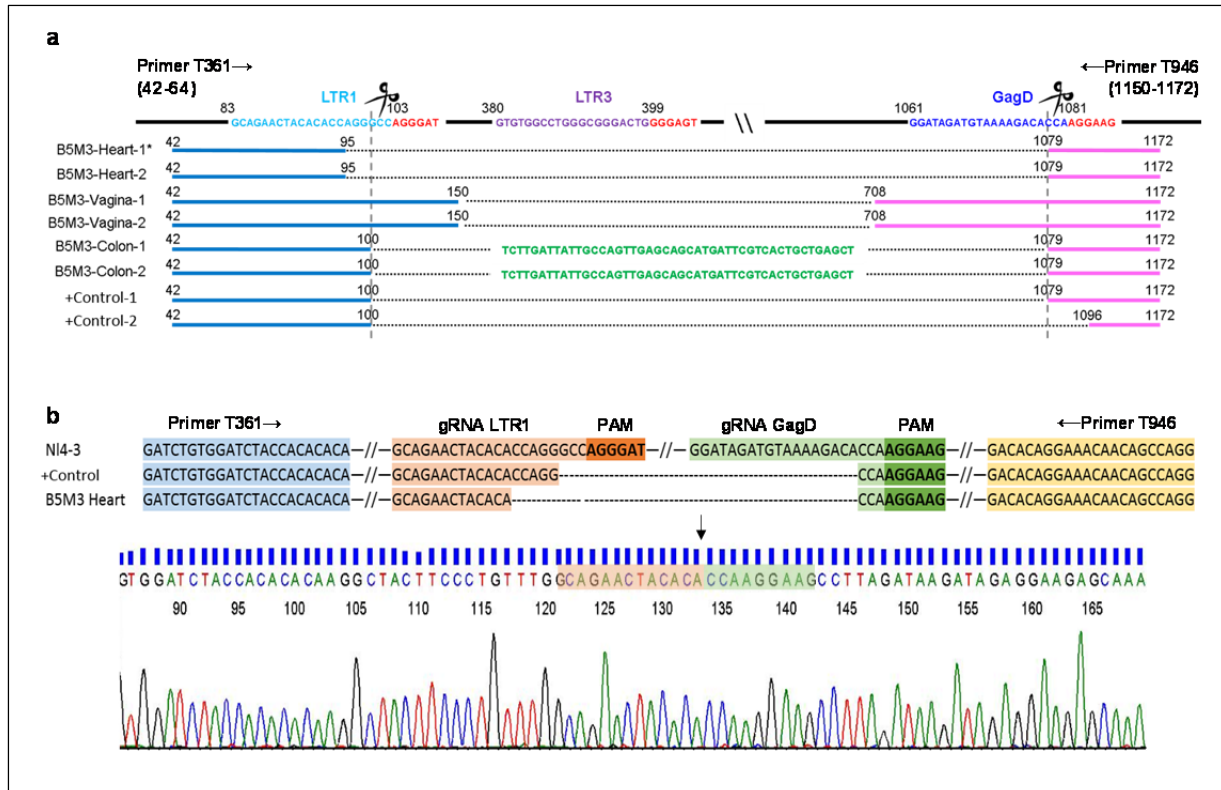


**Fig. S8. Excision of EcoHIV-eLuc by the quadruplex sgRNAs/saCas9 in the heart and lung tissues of NCr nude mice.** The mice received an administration of EcoHIV-eLuc via retro-orbital injection at the right eye and then another injection of AAV-DJ8 carrying quadruplex sgRNA/saCas9 via the same injection route. Mice were sacrificed 2 weeks after the injection. **(a)** PCR genotyping with primers T758/T363 amplified the expected fragment with a deletion between GagD and 3'-LTR1. The deletion fragments in heart and lung indicated by red color frame were extracted from the gel for TA-cloning and Sanger sequencing. **(b, c)** Representative Sanger sequence showing the expected cleaving/re-ligation site (red arrows) between GagD and 3'-LTR1. The deletion occurred exactly at the third nucleotides from the PAM (highlighted red) in heart **(b)** and lung **(c)**.

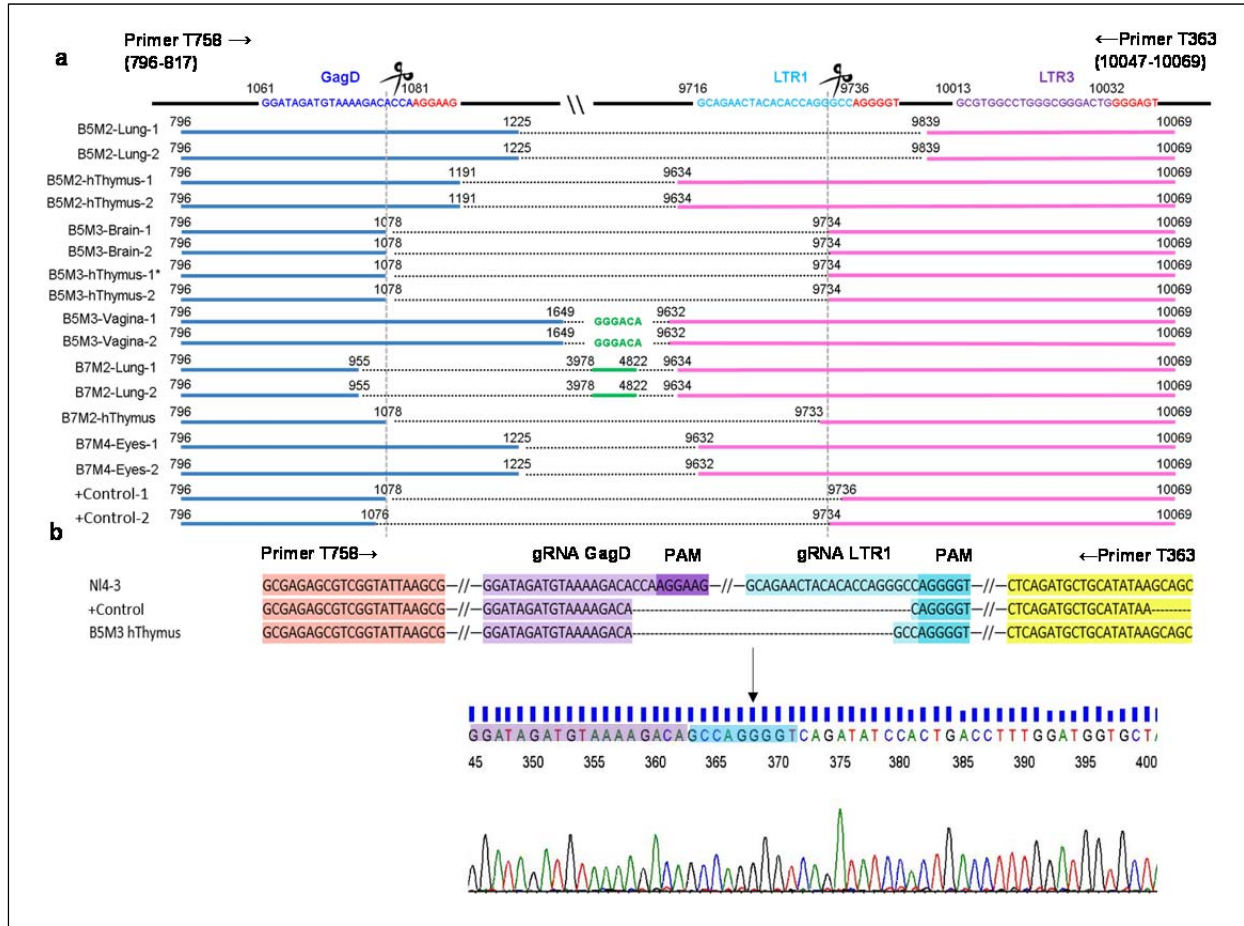
5'-LTR1+GagD	uncut	T872/T759
	cut	T361/T946
	internal	T457/T458
GagD+3'-LTR1	uncut	T873/T874
	cut	T758/T535
	internal	T872/T759
GagD+3'-LTR3	uncut	T873/T874
	cut	T758/T363
	internal	T872/T759



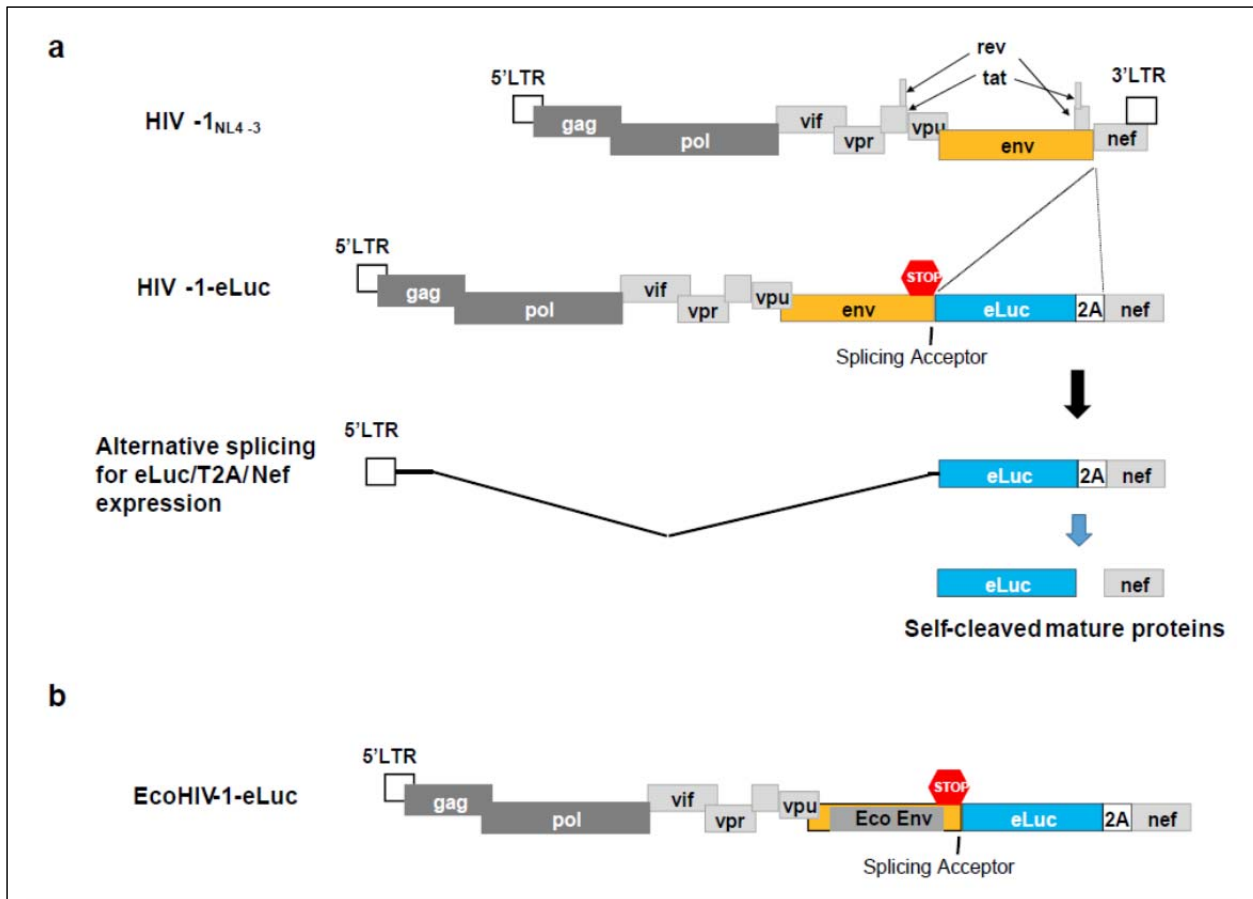
**Fig. S9. The strategy of qPCR for detecting HIV excision efficiency.** The table showing all qPCR primer pairs for indicating uncut, cut and internal EcoHIV DNA level, and the diagram showing all primers' location.



**Fig. S10. Validation of the fragmental deletion of HIV-1 proviral DNA between 5'-LTR1 and GagD sites in organs/tissues of humanized BLT mice after saCas9/sgRNA genome editing.** (a) Schematics of the junction sequence and unexpected sequence inserted in between the predicted cleavage sites. Nested PCR products using primer T361/T946 (the template from the first round PCR with the primer T361/T458) were extracted from the gel for TA cloning and 2-5 clones were sequenced from each sample. The precise cleavage site at the third nucleotide from the PAM (highlighted red) is indicated by the scissors. The predicted deletion between the 5'-LTR1 and GagD as well as various additional insertions (green) or deletions (black dot line) were identified. The blue and pink solid bar indicate the cleaved residual sequence. The numbers above the fragments indicated the start and the end of the nucleotide sites. TA clones with stars are selected to show detail sequence and tracing. (b) Representative Sanger sequencing tracing of clone B5M3-heart-1 shows the cleaving/relegation site (indicated by an arrowhead) between 5'-LTR1 and GagD. The conjunctive sequence between the 5'-LTR1 and GagD is highlighted with orange and green, respectively. The primer T361 and T946 sequence is highlighted with blue and yellow, respectively.



**Fig. S11. Validation of the fragmental deletion of HIV-1 genome between GagD and 3'-LTR1 sites in the organs/tissues of humanized BLT mice after genome-editing.** (a) Nested PCR product using primer T758/T363 after the first round PCR amplified with the primer T758/T458. PAM sequence is highlighted in red. The scissors indicate the precise cleavage site at the third nucleotide from the PAM. The predicted deletion between GagD and 3'-LTR1 is displayed along with the additional deletions or the unexpected insertions highlighted in green. The black dot line indicate the deleted portion. The blue and pink solid bar indicates the locations of the cleavage sites. The numbers above the fragments indicated the start and the end of the nucleotide sites. TA clones with stars are selected to show detail sequence. The lower fragment from hThymus tissue of mouse B5M3 indicated with green arrow in Fig. 7 was identified nonspecific by sequencing while the upper band was the cleaved residual sequence. (b) Representative Sanger sequence tracing of clone B5M3-hThymus-1 showing the cleaving/relegation site indicated by arrowheads between the GagD and 3'-LTR1. The cleaved sequence of GagD and 3'-LTR1 which were then joined together after deletion. The cleavage sites are highlighted with purple and blue respectively. The primer T758 and T363 sequence is highlighted with red and yellow respectively.



**Fig. S12. Schematics of HIV<sub>NL-BaL</sub>-eLuc and EcoHIV-eLuc.** (a). To retain the expression of intact HIV-1 Nef for pathogenesis and early HIV infection, a P2A peptide, a self-cleaving peptide which can cleave between genes upstream and downstream, and a portion of 5'Nef were cloned at the 3' end of each reporter in frame. The cleavage site of 2A peptide is precise and well defined, in such case, only one additional amino acid at the N-terminus of Nef was expected. (b) In EcoHIV-eLuc, the HIV gp120 is replaced with gp80 from ecotropic murine leukemia virus to infect only mouse cells rather than human cells.